Exhibit 1

Engelhard -

RM

UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF RHODE ISLAND

DAVID HOWARD WESTFALL, IN HIS CAPACITY AS ADMINISTRATOR OF THE ESTATE OF THOMAS HOWARD WESTFALL AND IN HIS CAPACITY AS ADMINISTRATOR OF THE ESTATE OF BETTY E. WESTFALL.

C.A. No. 79-0269

Vs.

WHITTACKER, CLARK & DANIELS,
METROPOLITAN TALC COMPANY,
INC., PFIZER, INC., WINDSOR
MINERALS INC., itself and as
successor to EASTERN MAGNESIUM
TALC COMPANY INC., and OMYA,
INC., itself and as successor to
VERMONT TALC COMPANY, INC.

Deposition of GLENN A. HEMSTOCK, taken by plaintiff, pursuant to subpoena and stipulation, at the offices of Cahill Gordon & Reindel, Esqs., 80 Pine Street, New York, New York, on Friday, January 28, 1983 at 10:20 A.M., before Joseph R. Danyo, a Notary Public within and for the State of New York.



WALTER SHAPIRO, C.S.R. CHARLES SHAPIRO, C.S.R.

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2	Appearances:
3	DECOF & GRIMM, ESQS. Attorneys for Plaintiff
4	One Smith Hill Providence, Rhode Island 02903
5	BY: DANIEL PRENTISS, ESQ.,
6	Of Counsel
7	•
8	ADLER POLLOCK & SHEEHAN, INCORPORATED Attorneys for Engelhard Corporation
9	and Glenn A. Hemstock 2300 Hospital Trust Tower
10	Providence, Rhode Island 02903
11	BY: PETER LAWSON KENNEDY, ESQ.
12	-and-
13	CAHILL GORDON & REINDEL, P.C. 80 Pine Street
14	New York, New York 10005
15	BY: HOWARD G. SLOANE, ESQ.
16	KATHY SILBERTHAU, ESQ., Of Counsel
17	OI Comiser .
18	
19	HANSON CURRAN & PARKS, ESQS. Attorneys for Defendant Metropolitan
20	Talc Co., Inc. 1210 Turks Head Building
21	Providence, Rhode Island 02903
22	BY: WILLIAM A. CURRAN, ESQ., Of Counsel
23	
24	•
25	

	<u> </u>	The state of the s
	1	3
	2	Appearances: (continued)
	3	(
	4	NUTTER McCLENNEN & FISH, ESQS.
	5	Attorneys for Defendant Windsor Minerals Inc.
	6	Federal Reserve Plaza 600 Atlantic Avenue
	7	Boston, Massachusetts 02210
	8	BY: EDWARD P. LEIBENSPERGER, ESQ.,
		Of Counsel
4	9	
- Park -	10	RICE DOLAN KIERNAN & KERSHAW, ESQS.
***	11	Attorneys for Defendant Omya, Inc. Suite 3A
* *	12	101 Dyer Street Providence, Rhode Island 02903
and the same of th	- 13	BY: JOHN F. DOLAN, ESQ.,
de septemble	14	Of Counsel
	15	
Section of the sectio	16	
	17	Also Present:
	18	
	19	THOMAS D. HALKET, ESQ. Minerals & Chemicals Division
	20	Engelhard Corporation
		TOIN N DETDIED POO
	21	JOHN N. BEIDLER, ESQ. General Counsel
Table of the state	22	Johnson & Johnson
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IT IS HEREBY STIPULATED AND AGREED that the transcript may be signed before any Notary Public with the same force and effect as if signed before the Court.

IT IS FURTHER STIPULATED AND AGREED that all objections, except as to the form of the question, are reserved to the time of the trial.

* * *

5 1 MR. KENNEDY: My name is Peter Kennedy, and 2 I am representing Eastern Magnesium Talc Company. 3 A stipulation had been signed by the attorneys for the third-party plaintiff, Windsor 5 Minerals Inc., and just in case everybody here is 6 unaware, that stipulation has been entered by the 7 Magistrate as of last Tuesday, and that stipulation 8 dismisses the third-party claim against Eastern 9 Magnesium Talc Company and Minerals and Chemicals 10 Philip Corporation. 11 Just earlier this week, I had a conversa-12 tion with Mr. Prentiss concerning the confiden-13 tiality of the documents that are going to be pro-14 duced pursuant to the subpoena duces tecum, and in 15 that regard, we have prepared a stipulation that 16 I would ask all counsel to read and to sign before 17 we produce those documents. 18 So, Mr. Prentiss, since you are the plain-19 tiff's attorney, it is probably most logical 20 for you to take a look, and if you agree, would 21 you sign and I will pass copies around the room 22 to other counsel for them to review. 23 While you are reading that, I would like 24 to make one other statement. I understand Mr. 25

6 1 Prentiss has called the attorneys who are repre-2 senting Whittaker, Clark & Daniels, and am I 3 correct they have advised you that they will not 4 be present today? 5 MR. PRENTISS: In a telephone conversation 6 five minutes ago, Mr. Sarli of the firm Hinckley 7 & Allen, advised me that neither he nor other 8 counsel for Whittaker, Clark & Daniels would 9 attend this morning's deposition. 10 He requested that his firm be supplied 11 with a copy of the transcript of this deposition, 12 and it was understood that the deposition would 13 | proceed in his absence. 14 MR. KENNEDY: I would like to state, so that 15 everybody thinks we're being fair with Whittaker, Clark 16 & Daniels, before they get a copy, I require 17 them to also sign the stipulation. 18 (A discussion was held off the record.) 19 MR. PRENTISS: My first difficulty with the 20 proposed agreement which I am seeing for the first 21 time this morning, this proposed confidentiality 22 agreement that is proposed by Englehard and/or 23 Eastern Magnesium Talc Company is at page four. 24 The paragraph 4A proposes to restrict any 25

party to this litigation from disclosing the materials to be obtained in this deposition from any person presently or formerly employed by or associated with Engelhard or with any of the parties in this action. That is in quotes.

The plaintiff has retained the services of a Mr. Peter Gale, who is employed as a consultant with a firm in Oklahoma City, Oklahoma, to perform analytical services and assist in preparation for trial, and presumably to testify at trial. Mr. Gale at one time was an employee of Engelhard.

Mr. Gale, in the course of his employment with Engelhard and/or a subsidiary of Engelhard signed an agreement that he would not reveal or divulge information, confidential information he obtained as an employee of Engelhard, and Mr. Gale informed me of that agreement in our very first telephone conversation in connection with this case.

He has not divulged any such information to me, and has stated that he will not, and that he will abide by that restriction.

Be that as it may, this proposed con-

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fidentiality agreement would restrict my providing any of this information from this deposition to Mr. Gale for his use in preparation of this case for trial, and I can't agree to that.

MR. KENNEDY: It is precisely that problem that gives rise to the stipulation. We became aware of Mr. Gale's participation in the plaintiff's case, after we were brought into the case, and I forget the date of the letter that I wrote to you, but I stated in that letter that he had signed the secrecy agreement and I enclosed a copy of it.

It seems inconceivable to me that an expert witness, hired by the plaintiff, who had intimate knowledge of what Engelhard considers confidential information could prepare plaintiff's case or help prepare the plaintiff's case and forget what he might have learned as an employee of Engelhard, so that is precisely why that language is in this deposition, and we have to insist that it remain in that.

MR. PRENTISS: Then we're not going any further. Mr. Gale is hired as an expert for his credentials and capabilities as a scientist.

His capability includes the ability to use

analytical tools, analytical machines to perform analyses and to form opinions and conclusions as to chemical constituents, chemical contaminates in our bodies or materials that he chooses to analyze.

That ability only has an indirect relation—
ship to his former employment by Engelhard, and
that indirect relationship is only in the sense
that during that employment, he may have furthered
his innate experience and abilities as a scientist.

He has stated to me, and as a condition of his employment by me, that he would not divulge to me or utilize in the course of his work in this case any confidential information that he obtained while at Engelhard. In other words, that he would not use data, information of any sort that he obtained while at Engelhard. He would use only his inherent skills and capabilities and powers as a scientist to perform analytical tests, to conduct research in available literature, openly, publicly available literature, and to prepare expert testimony in this case.

He would not rely on objective information obtained during the course of his employment at

Engelhard, and in my opinion, expressed to him and I express it on the record here, having seen the confidentiality agreement that he signed as an employee of Engelhard, that is in no way a violation of his employment contract with Engelhard.

What you are proposing this morning for the first time is that the materials to be developed by this deposition will not be available to be used by the plaintiff, at least insofar as one of the experts he has retained in this case.

When you first, by telephone, raised to me the possibility or your request that we sign a confidentiality agreement regarding materials to be produced today, I gave my clear and ready assent to signing of any standard confidentiality agreement, because I have no desire to breach the confidence of Engelhard. I have no desire to divulge the contents of any materials that we should develop from Engelhard other than strictly in connection with this litigation. I am perfectly willing to sign a standard confidentiality agreement to that effect.

You did not say in our telephone conversation that you intended to propose more than

1 a standard confidentiality agreement and to 2 restrict my use of these materials and to restrict 3 one of my experts that I have retained and whom 4 you were well aware of at the time of our tele-5 phone conversation from use of the materials. I 6 cannot agree to obtaining materials under that 7 crippling circumstance. 8 If you had made that known at the time, 9 you had the opportunity to go to the Magistrate 10 and seek an appropriate order, and we could have 11 argued this before the Magistrate. 12 Now, we are all here in New York for the 13 taking of this deposition and you are imposing a 14 condition I cannot accept. 15 MR. KENNEDY: Of course, you have put your-16 self into that position by retaining an expert who 17 had signed a confidentiality agreement with 18 Engelhard. Some of the documents that would be 19 produced, were we to produce them at this 20 deposition, might be those documents that Mr. 21 Gale had already seen and had already agreed to 22

> The second point I would like to make is in my opinion, this is a standard confidentiality

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hold confidential.

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The employed their space spaces	1) 12
	2	agreement. I suppose neither you nor I will be
	3	able to decide that without somebody's help.
	4	I would like to declare a recess for a moment.
	5	(A recess was taken at this time, and upon
	6	returning, the following then ensued:)
	7	MR. KENNEDY: Mr. Prentiss, simply stated,
	8	if you do not sign this stipulation, we'll not pro-
	9	duce the documents.
	10	MR. PRENTISS: We have one alternative,
- Part	11	which we discussed in your absence, and that is
÷;	12	calling the Magistrate to see if we can get a ruling
•	13	in this matter now.
•	14	By stipulation, Mr. Hemstock is here pur-
	15	suant to a valid and effective subpoena duces
:	16	tecum, and the documents are here, and I want them,
	17	and it seems to me it is up to you to move for a
	18	protective order.
4	19	MR. KENNEDY: We're not going to produce
4	20	them and calling the Magistrate on the telephone
	21	is not satisfactory.
	22	As a matter of fact, what we'll probably do
1	23	is try to schedule a deposition of Mr. Gale just
; ; ;	24	to find out what he has divulged and what his
5	25	charge has been by you or by the plaintiff in the
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13 1 preparation of this case. We don't have the in-2 formation at this particular time to know for 3 certain what he has already divulged. It will be--4 it is unsatisfactory to have a telephone conference 5 with the Magistrate. 6 MR. PRENTISS: You are saying you dispute 7 my representation as to what Mr. Gale divulged 8 to me? 9 MR. KENNEDY: I don't have sufficient facts 10 to be able to agree to it or dispute it. I don't 11 I don't agree to things until I have know. 12 sufficient facts to form an opinion. 13 MR. PRENTISS: You are going to -- ' 14 MR. CURRAN: You are asking us to though, 15 right now. 16 MR. KENNEDY: Yes. 17 MR. PRENTISS: You are going to have to get 18 an order to get the deposition of Mr. Gale. 19 a subject that has already been determined by Judge 20 Boyle. 21 I am going to ask for costs, for travel to 22 this deposition, and I would expect every other 23 party will as well. I will not go forward because 24 I am not going to sign that agreement, and I will 25

	1	14
	2	move for sanctions for refusal to comply with a
	3	valid subpoena out of the Federal Court.
	4	MR. KENNEDY: You do, of course, understand
	5	that when that stipulation was signed, Eastern
	6	Magnesium Talc Company was a party. It is no longer
	7	a party. You understand that?
	8	MR. PRENTISS: That has no bearing on
	9	the validity of a subpoena out of Federal District
	10	Court.
The state of the s	11	I would like to have the subpoena and stipu-
•	12	lation marked as exhibits to this deposition.
	13	MR. DOLAN: You won't let the witness testify
	· 14	at all?
, • • • • • • • • • • • • • • • • • • •	15	MR. KENNEDY: The witness is perfectly
÷ .	16	able you have to understand that these documents
	17	do not belong to Mr. Hemstock. The only reason
A THE PARTY OF THE	18	that we're producing these documents is out of,
a ferritaire e	19	if you will, practicality.
	20	If you recall, when Mr. Hemstock was sub-
	21	poenaed, he was subpoenaed as an officer of a
0	22	party. No, I guess he was not.
	23 ∬	MR. PRENTISS: No, he was not.
	24	MR. HALKET: Off the record.
	25	(A discussion was held off the record.)
:	 	

15 1 MR. PRENTISS: I would like to proceed 2 with the deposition of Mr. Hemstock, who is 3 appearing here pursuant to subpoena duces tecum. I would like to mark as exhibits for the 5 record, first the subpoena duces tecum, which is 6 dated December 15, 1982, which is directed to 7 Mr. Glenn Hemstock, vice president, research, of 8 the Engelhard Corporation, Menlo Park, Edison, 9 10 New Jersey. (A subpoena duces tecum dated December 11 15, 1982 was marked as Plaintiff's Exhibit 1 for 12 identification.) 13 I would like to mark as the MR. PRENTISS: 14 second exhibit a stipulation under the case head-15 ing Westfall vs. Whittaker, Clark & Daniels, et al, 16 a stipulation executed between myself, as attorney 17 for the plaintiff, and Mr. Peter Lawson Kennedy, 18 attorney to Glenn Hemstock and Engelhard Corpora-19 tion and its affiliated companies, dated January 20 10, 1983. 21 (A stipulation dated January 10, 1983 22 between Mr. Prentiss and Mr. Kennedy was now 23 marked as Plaintiff's Exhibit 2 for identifica-24 tion.) 25

16 1 MR. PRENTISS: Mr. Hemstock has been sub-2 poenaed, and by the stipulation, that subpoena is 3 valid and effective, returnable at 10:00 this morning at the offices of Cahill Gordon & Reindel, 80 Pine Street, New York. It is now 10:45, and I would like to proceed 7 with the deposition pursuant to the subpoena. 8 MR. KENNEDY: While we're putting items 9 on the record, I would like to put in the record 10 as an exhibit for non-party Engelhard the pro-11 posed stipulation and order of confidentiality 12 so we have a full record. 13 I also would like to make another brief 14 statement, if I may. 15 (The proposed stipulation and order of 16 confidentiality was now marked as Engelhard Exhibit 17 1 for identification.) 18 MR. KENNEDY: The subpoena that was served 19 on Dr. Hemstock called for the production of 20 all documents within his possession, custody 21 or control. 22 As I understand it, I believe Mr. Hemstock 23 would so testify, he has no documents that would 24 be responsive to that subpoena. 25

We had brought to this deposition in order to facilitate the plaintiff's discovery, documents that were in the possession of Dr. Hemstock's employer, Engelhard Corporation, and also any documents that were in the possession of its subsidiaries.

We've already stated on the record that
we recently learned that the plaintiff has designated
an expert witness, Mr. Gale, who had been a project leader with Engelhard Minerals & Chemicals
Corporation, which was a predecessor to Engelhard
Corporation in 1978 and 1979, and his duties
required him to have responsibility for research
and analysis of Eastern Magnesium Talc Company
minerals, including those from Emtal's Johnson,
Vermont mine. He had access to confidential and
proprietary information, and he had signed an
agreement where he had covenanted and agreed that
in his employment contract to keep such information
confidential.

I had spoken with Mr. Prentiss the other day concerning a confidentiality agreement to be stipulated to before the deposition of Mr. Hemstock.

I have been informed -- it has not been

confirmed, but I have been informed that Mr. Hemstock himself has signed such a confidentiality agreement, and consequently, any of his testimony may well be in violation of his agreement as well.

Mr. Hemstock is here. He will testify provided a stipulation as far as confidentiality can be agreed to concerning his testimony.

We will not produce any documents that we have before us unless the proposed confidentiality agreement is signed.

MR. PRENTISS: I think my prior statement is on the record, and fully sets forth the plaintiff's position on this.

Mr. Gale's identity as an expert, proposed expert, for the plaintiff, was made known, I believe, before Engelhard was ever named as a party to this case, and Mr. Kennedy was aware of his identity at the time that I subpoensed Mr. Hemstock for a deposition, and certainly at the time that we stipulated as to the return of the subpoens to this office for the deposition.

The subpoena is effective and valid. I propose to begin the deposition by filling out

19 1 the record as to exactly what Mr. Hemstock does 2 have in his possession, and I would ask that we 3 proceed. (A recess was taken at this time, and upon 5 returning, the following then ensued:) 6 HEMSTOCK, GLENN Α. 7 having first been duly sworn by the 8 Notary Public, was examined and testified 9 as follows: 10 EXAMINATION BY MR. PRENTISS: 11 My name is R. Daniel Prentiss. I represent the plaintiff in the action David Howard Westfall, in 13 his capacity as Administrator of the Estate of Thomas 14 Howard Westfall, et al. vs. Whittaker, Clark & Daniels, 15 and other defendants, that is pending in the United 16 States District Court for the District of Rhode Island, 17 civil action number 79-0269. 18 This is a deposition that is being taken 19 pursuant to that case, pursuant to the Rules of Civil 20 Procedure. The deposition is for the purpose of obtain-21 ing testimony from you, which is sworn testimony, that 22 may be used at trial in the proceedings that follow in 23 this matter. 24 Because of the possible use of the 25

	1	20
	2	transcript of this deposition, it is important that you
	3	understand the questions that I ask you, and understand
	4	what it is that I am asking before you answer.
\bigcirc	5	For that reason, I will ask that you let me
	6	know if at any time during the course of this deposition
	7	you don't understand my question or the thrust of
	8	my question, and I will do my best to rephrase it so
	9	that you do understand it.
	10	Is that an appropriate arrangement?
	11	A Yes,
	12	Q Could you state your full name for the
	13	record.
	14	A Glenn Alton Hemstock.
	15	Q. Where do you live?
'	16	A Princeton, New Jersey.
	17	Q When were you born?
	18	A 1925.
	19	Q Where?
	20	A In Owen Sound, Ontario.
0	21	Q Could you describe your education, past
	22	secondary education?
	23	A Yes. I went to the University of Toronto
	24	undergraduate, and Purdue University for graduate
: 	25	training.
!		}

	1	>	Hemstock	21
	2	Q	What degree did you receive from the	Jni-
	3	versity of To	oronto?	}
3	4	A	It was a bachelor of science in agriculture	ulture
Ō	5	Q	And what did you study at Purdue?	,
	6	A	I studied chemistry.	1
	7	Q	What degree did you receive?	
	8	A	PhD.	
	9	Q	When did you receive your PhD.?	
1.	o	A	1951.	
1	1	Q	Were you employed following your grad	uation
19	2	from Purdue?		
18	3	A	Yes, I was.	
. 14	4	· Q	Where?	
1	5	A	Immediately following graduation, at	the
1	6	University.		
ł	7	Q	In what capacity?	į
1 1 1	.8	A	I was in charge of the state soil tes	ting
기 등 1	.9	laboratory.		}
. [:0	Q	How long did you remain there?	{
1 () 2	1	A	About one year.	-
2	2	Q	What did you do after that?	}
2	3	A	I went to the Institute of Paper Chem	istry.
2	4	Q	Where is that located?	
2	:5	A	In Appleton, Wisconsin.	

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	1	Hemstock 22
Abelians.	2	Q What was your employment responsibility
marine of the contract of the	3	there?
	4	A I was a research assistant.
	5	Q How long did you remain there?
	6	A About four and a half years.
	7	Q What was your next employment?
	8	A I went to Minerals & Chemicals Corporation
	9.	of America.
	10	Q Where is that located?
	11	A Menlo Park, New Jersey.
1 Living 1 4 compa	12	Q In what capacity were you hired?
1	13	A As a senior chemist.
T 1 T 1 T 1 T 1 T 1 T 1 T 1 T 1 T 1 T 1	14	. Q What were your activities in that capacity?
of a farmer, article and	15	A As a project leader to carry out research.
	16	Q What areas did you conduct research in?
	17	MR. KENNEDY: Let me have a moment.
	18	. (A discussion was held off the record.)
	19	MR. KENNEDY: Just a clarification, are
1	20	you talking in general or specifically as to
	21	certain projects?
	22	MR. PRENTISS: It is hard to tell. I am
-	23	asking the question, what areas did he conduct
	24	the research in? Obviously, that calls first for
	25	a general answer. I might follow with a more
}		
!	11	· · · · · · · · · · · · · · · · · · ·

	1	Hemstock 23
	2	specific question.
	3	A Clay minerals.
	4	Q When did you go to work? What year did you
	5	go to work for Minerals & Chemicals Corporation?
	6	A 1956.
	7	Q How long did you remain as a project leader.
	8	senior chemist?
	9	A Approximately one year.
	10	Q What did you do after that?
	11	A I was advanced to research supervisor.
	12	Q How long did you remain as a research
	13	supervisor?
	14	A Until the end of 1962.
	15	. Q What were your duties as a research super-
	16	visor?
	17	A I had oversight of several research projects
	18	Q Were those research projects again in the
	19	area of clay minerals?
	20	A Generally, yes.
	21	Q Did that include talc?
\bigcirc	22	A No.
	23	Q What position did you assume in 1962?
	<u> </u>	A I left Minerals & Chemicals Corporation of
	24	
	25	America and went to Union Camp Corporation.

	 1	Hemstock 24
	2	Q To your knowledge, is Minerals & Chemicals
	3	Corporation of America a corporate predecessor to
	 4	Minerals & Chemicals Philip Corporation?
	5	A Yes, I believe so.
	6	Q What did you do at Union Camp Corporation?
	7	A I was a group leader in paper coating
	8	research.
4	9	Q How long did you remain there?
	10	A Until mid-April, 1967.
	11	Q Did you conduct any research work at
-	12	Union Camp Corporation?
:	13	A Not personally.
	14	Q Did you oversee research?
۶ ا	15	A Yes.
	16	Q In 1967, where did you go to work?
1	17	A I returned to Minerals & Chemicals Philip
-	18	Corporation.
-	19	Q In what capacity?
	20	A The title was manager of fundamental
	21	research.
-	22	Q What were your duties as manager of funda-
Andrewson .	23	mental research?
! ! !	24	A This was a staff position in which my
-	25	role was primarily as an advisor, advisor to project
1		

	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	Hemstock 25
	2	leaders, advisor to administration.
	3	Q The project leaders or by project leaders,
	4	are you referring to the position you formerly held at
0	5	that corporation?
	6	A That is generally correct.
	7	Q Did the project leaders report to you as
	8	the manager of fundamental research?
	9	A No, they did not.
	10	Q But you advised project leaders as to the
	11	direction of research they would undertake?
	12	A I would make suggestions to them, yes.
	13	Q And you would report to management of the
	14	company?
	15	A I reported to the vice president of
	16	research.
	17	Q And would you be carrying out the policies
	18	as enunciated to you by the vice president of research
	19	in your advising the project leaders regarding research?
	20	A Generally, yes.
\bigcirc	21	Q Was one of the areas of research that
<u></u>	22	was being pursued at that time research in talc?
	23	A No, it was not.
	24	Q How long did you remain as manager of
	25	fundamental research?

	<u> </u>	
	1	Hemstock 26
	2	A Approximately a year.
	3	Q What position did you assume after that?
_	4	A I became assistant director of research.
	5	Q What was your job function in that capacity?
	6	A This was a line position rather than a
	7	staff position, and as such, I directed group leaders,
	8	research supervisors in the research department.
	9	Q Directed the areas of research that would
	10	be conducted?
	11	A Yes.
	12	Q Made decisions as to what areas of
	13	research would be pursued?
	14	A Yes.
	15	Q At this time, what was the business of
	16	Minerals & Chemicals Corporation of America?
	17	MR. KENNEDY: Objection.
	18	I instruct the witness not to answer unless
	19	you just want a general description.
	20	MR. PRENTISS: I guess you have to start
0	21	somewhere.
a	22	MR. KENNEDY: Okay.
	23	Give him a general description.
}	24	(A discussion was held off the record between
	25	the witness and his counsel.)
<u> </u> 		

-	ng at hija kan na n	1	Hemstock 27
•		2	MR. KENNEDY: I have been informed that
		3	the question is inaccurate and the witness would
Transport of the Parket		4	like an opportunity to point out the inaccuracy.
		5	Q Would you, please.
	1	6	A In 1967, the time in question, the company's
		7	name was Minerals & Chemicals Philip Corporation.
		8	Q Could you tell me generally what was the
		9	business of the Minerals & Chemicals Philip Corporation
		10	in 1967?
		11	. A Clay minerals.
-		12	Q What was the corporation's activity in
division in second		13	the business of clay minerals?
		14	A Mining and processing.
i. Tuén remeres		15	Q What clay minerals in particular was the
	•	16	corporation involved in mining and processing?
		17	A Kaolinite, attapulgite.
		18	Q For the record, maybe we better spell that.
- Talifar	•	19	A $K-a-o-l-i-n-i-t-e$ and $a-t-t-a-p-u-l-g-i-t-e$
-		20	Q Any others?
		21	A There were others that weren't clay
		22	minerals that we were involved in.
1		23	Q What were those?
4		24	A Limestone and bauxite processing.
1		25	Q In 1967, was Minerals & Chemicals Philip

	1	Hemstock 28
	2	Corporation affiliated in any way with Engelhard
	3	Corporation?
•	4	MR. KENNEDY: If you know.
Ô	5	A Not directly.
	6	Q Was it indirectly?
	7	A There was representation on the hoard
	8	of directors of the company by representatives from
	9	Engelhard.
	10	Q How long did you remain assistant director
	11	of research?
	12	A About five years.
	13	Q Until about 1973?
	14	A Approximately.
	15	Q. What position did you assume at that time?
	16	A I was director of research.
	17	Q In what way did your job functions change
	18	with the assumption of that position?
	19	A I had the responsibility for research and
	20	development.
	21	Q Can you distinguish between research and
	22	development, as you know those terms in your capacity?
	23	A Yes. Research is developing new products
	e 24	at the bench scale. Development is taking those ground
	25	quantities at the bench scale and carrying them into

The state of the s	1	Hemstock 29
	2	the development of a process which can be subsequently
	3	commercialized.
	4	Q What were the products that you were in-
	5	volved with?
	6	MR. KENNEDY: Objection.
	7	Instruct the witness not to answer.
	8	Q What products at this time was Minerals
	9	& Chemicals Philip Corporation in the business of selling
	10	MR. KENNEDY: Again, are you asking for
	11	a general description?
	12	MR. PRENTISS: To begin with.
	13	A We were selling clays to the paper industry.
	14	catalysts to the petroleum industry.
, ·	15	Q Did any of the research that was undertaken
	16	at Minerals & Chemicals Philip Corporation during the
	17	period that you were assistant director of research or
	18	director of research involve potential safety hazards
	19	of any of the materials with which the corporation was
	20	dealing?
	21	MR. KENNEDY: Objection to the form of that
Ö	22	question. I don't, frankly, understand it myself.
	23	MR. PRENTISS: Could you read it back.
	24	(The last question was read back by the
	25	Reporter.)
!		

	1	Hemstock 30
	2	A No.
	3	Q Did any of the research that was conducted
	4	by the corporation when you were in those capacities
	5	deal with health hazards or potential health hazards
	6	of any of the materials with which the corporation was
	7	dealing?
	8	A No, it did not.
	9	Q You, in your capacity as director of re-
	10	search, did you oversee all of the research and develop-
	11	ment activities for the company?
	12	A That's correct.
4 11-5-11-11-11-11-11-11-11-11-11-11-11-11	13	MR. HALKET: Off the record.
Approximation of the state of t	14	. (A discussion was held off the record.)
Albina : - I'mmb'k	15	Q Over the course of your employment with
	16	Minerals & Chemicals Philip Corporation, have there been
	17	corporate changes of name or ownership, to your know-
-	18	ledge?
de u'ha anna hagaridhe	19	A Yes.
	20	Q Are you intimately familiar with all of
	21	the details of the corporate changes over that time?
	22	A No, I am not.
	23	Q When I direct my questions to you, I am
	24	asking you to answer the questions with respect to your
	25	employer and to give to the best of your understanding
	} 	

	1	Hemstock 31
	2	and your knowledge regarding what your employer did or
	3	what activities your employer was involved in; is that
-	4	satisfactory?
(5	A Yes.
	6	MR. PRENTISS: Could we go back to the
٠.	7	last question that was asked.
	8	(The last question was read back by the
	9	Reporter.)
	10	Q And in that capacity, you made the line
•	11	decisions as to what areas of research or excuse me,
	12	what areas into which research would be conducted?
	13	A I didn't make those entirely on my own,
	14	so the answer is partially.
	15	Q Who else made those decisions?
	. 16	A The vice president of research to whom
	17	I reported.
	18	Q How long did you remain director of research?
	19	A Approximately a year and a half.
	20	Q And what position did you assume after
	21	that?
	22	A Vice president of research and development.
	23	Q This would be some time during 1974?
	24	A 1974.
	25	Q Is that the position you hold today?

	1	Hemstock 32
	2	A That's correct.
	3	Q What corporation do you work for today?
	4	A I work for Engelhard Corporation.
0	5	Q At what point, to the best of your know-
	6	ledge, did your employer change from Minerals & Chemicals
	7	Philip Corporation to the Engelhard Corporation?
	8	MR. HALKET: It never did.
	9	A That is a complex question.
	10	MR. KENNEDY: If you don't know the
	11	answer, don't guess.
	12	Q Over this period of time from 1967 to the
	13	present, has the place of your employment been the same?
والم	14	A Yes, it has.
and the state of t	15	Q And to whom does the vice president of
· valva Arabata ing valva da	16	research, either you or your predecessor, report?
	17	A To the president of the division or the
	18	company, depending.
Topicia	19	Q The president of the division or the
	20	company?
	21	A Today, in Engelhard Corporation, there are
)	22	two divisions, and I report to the president of the
1	23	minerals & chemicals division.
į į	24	Q At an earlier time, there was the
;	25	Minerals & Chemicals Company, as an independent corpora-
•		

33 Hemstock I tion, if you know? I am not trying to confuse you on 2 the corporate legalities. 3 When we were Minerals & Chemicals Philip Corporation, there was only one division with one 5 president, and the vice president of R and D reported to 6 that president. And now that it is Engelhard Corporation, 8 there are two divisions, one of which is the minerals 9 & chemicals division, is that correct? 10 A Yes. 11 Of Engelhard Corporation? 12 Q Of Engelhard Corporation. 13 And as to your responsibilities and your 0 14 job function, you were always within the minerals & 15 chemicals entity, whether it was a company on its own 16 or a division of the Engelhard Corporation, is that 17 correct? 18 That is true. A 19 And it is now, the structure is that it is 20 a division of the Engelhard Corporation? 21 That's correct. 22 Α As vice president of research, do you have 23 the ultimate responsibility, subject to review by the 24 president, for the research and development activities 25

1	Hemstock 34	
2	of the company?	
3	A My role is as an implementer.	
4	Q What do you implement?	
5	A The programs and projects that are general	.1у
6	agreed to by the management of the division.	
7	Q And what is the management of the division	12
8	A We have a management committee which	
9	operates at the pleasure of the president. I am a memb)er
10	of that committee along with other vice presidents who	ſ
11	have other responsibilities.	
12	Q And that management you carry out the	
13	policy of that management committee in the area of re-	i
14	search and development for the company?	
15	A That's correct.	
16	Q So do you have the ultimate decision-	
17	making authority within or under that structure of	
18	the management committee for determining the areas	
19	in which research and development will be conducted?	
20	A I certainly have a loud voice.	i !
21	Q And do you review the products of the	-
22	research and development activities of the company?	
23	A I would have familiarity with the new pro-	-
24	ducts. I don't understand, the question of reviewing]
25	products?	1

	1	Hemstock 35	
	2	Q I meant project.	
	3	A Yes, I do.	
.114	4	Q So if a project leader within the company	
\bigcirc	5	carries out a research project, is that project at some	
	6	point authorized by you?	
	7	A Yes, that's correct.	
	8	Q Is the result of that research project	
	9	submitted ultimately to you for review?	
1	10	A Yes, it would be.	
1	11	Q And if that product of that research project	;t
1	2	is a document or series of documents, is that submitted	
1	3	to you for your review?	
1	.4	A Yes., I would have an opportunity to review	
1	15	them.	
. 1	16	Q Where does it go from there?	
1	17	A It goes into a central filing system.	
1	18	Q A central filing system under which vice	
1	19	president?	
2	20	A Not specifically under any vice president.	}
	21	Q A central filing system for the minerals	
2	22	& chemicals division of the Engelhard Corporation?	
2	23	A That's correct.	
2	24	Q And you as vice president of research are	
2	25	the one with the most direct authority over that portion	1

36 Hemstock 1 of the filing system, is that correct? 2 I suppose one could say that, yes. 3 There is no other person who has a more 4 direct authority over that area of the filing system 5 of the company than you, is there? 6 No official of the company has. A 7 The only person with more direct control 8 would be people who are at the clerical level or staff 9 or line level, who might have direct hands-on filing 10 responsibilities, is that right? 11 Α΄ Yes. 12 But as far as the official level of the. 13 company, you are the one with the most direct respon-14 sibility for the custody of the filing that relates 15 to research and development for the company, is that 16 correct? 17 Yes. 18 Did the company that you work for at any 19 time during the period of your employment become in-20 volved in commerce in talc? 21 Could you define commerce? 22 Engaging in any way in the business of Q 23 mining, selling, producing, mining or other activity 24 with talc? 25

	1	Hemstock 37
	2	A Yes.
	3	Q When?
	4	A I don't know exactly.
0	5	Q Approximately when did did you ever be-
	6	come involved in any way with talc in your capacities
	7	as vice president of research or director of research?
	8	MR. KENNEDY: Is this in any way?
	9	MR. PRENTISS: In any way.
	10	A Yes.
	11	Q When?
 	12	A During the late seventies is as accurately
	13	as I can express it.
	. 14	. Q What was the nature of your involvement
	15	with talc?
	16	MR. KENNEDY: Are we talking generally or
	17	specifically?
	18	MR. PRENTISS: We have to start somewhere.
	19	MR. KENNEDY: Generally.
	20	A Generally, my responsibility was as an
	21	administrator of all of the things that go on within the
	22	department.
,	23	Q Did you say that was late seventies?
, !	. 24	A That was somewhere in the late seventies.
; ;	25	Q Does that mean then that at some point

_			The state of the s
		1	Hemstock 38
		2	in the late seventies or thereafter, research and/or
-		3	development was conducted in the area of talc in the
		4	company?
	Ô	5	MR. KENNEDY: Objection.
		6	Instruct the witness not to answer.
		7	Q At any time
		8	MR. KENNEDY: Absent a confidentiality
		9	stipulation.
		10	MR. PRENTISS: Again, I will state
·. \$		11	what I stated to Mr. Kennedy by telephone two
,		12	days ago, I am certainly willing to enter into a
•		13	standard confidentiality stipulation.
· `: {		14	. I will not enter into the stipulation he
1		15	has dropped on the table this morning for the rea-
į		16	son that it
		17	MR. KENNEDY: It would interfere with your
		18	use of a former employee as an expert witness.
		19	MR. PRENTISS: It is an unusual and
,		20	unacceptable stipulation.
· · }		21	Q What was the nature
		22	MR. KENNEDY: The situation using the
		23	former employee as an expert witness is also an
		24	unusual situation too. That is why it prompts
		25	an unusual, as you have termed, confidentiality
		ŧ	
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_		e.s	The state of the s
		1	Hemstock 39
		2	stipulation.
		· 3	Q What was the nature of company involvement
2		4	in tale?
-	9	5	MR. KENNEDY: Generally?
-		6	MR. PRENTISS: Yes.
		7	A Generally, talc was an article of commerce
		8	which we mined and processed.
		9	Q And sold?
		10	A And sold.
**********		11	Q What was the nature of the research that
.,;		12	was conducted by the company in the field of talc?
:		13	MR. KENNEDY: Generally?
the end- up are		14	. MR. PRENTISS: Generally.
-		15	MR. KENNEDY: Objection. I will instruct
		. 16	you not to answer. I have been advised that is
		17	proprietary.
		18	Q During the time you were vice president
		19	of research, did the company make any investigation
44		20	regarding potential health or safety hazards of talc?
	\bigcirc	21	MR. KENNEDY: Objection. Same ground.
-		22	Q During the time you worked for the company,
		23	did it ever undertake any studies regarding the possible
1		24	contaminants of talg?
1		25	MR. KENNEDY: Same objection. Same
,	•	11	

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	1	Hemstock 40
	2	instruction.
	3	Q Did the company, in the time you have been
	4	employed by it, ever conduct any laboratory investiga-
Ō	5	tions to determine the contaminant content of talcs
	6	mined by the company or by any other entity?
	7	MR. KENNEDY: Same objection. Same
	8	instruction.
	9	Q Did you receive the subpoena duces tecum
	10	which has been marked as Plaintiff's Exhibit 1 for
	11	identification?
1	12	MR. KENNEDY: There has already been a
	13	stipulation. Mr. Hemstock did not receive that.
	14	Mr. Hemstock was out of the country when it
	15	was served, and there is a stipulation covering
	16	the efficacy of that subpoena.
	17	Q I am asking if you have ever seen that?
	18	A Yes, I have seen it.
4	19	Q Could you tell me whether or not there
	20	exists in the central files of the company any documents
	21	which respond to the following description: "all data
	22	including test reports, laboratory results, memoranda,
	23	correspondence or other written materials of any
-	24	description whatsoever regarding any investigation of
:	25	fibrous materials in talc from Engelhard properties
1	11	

41 Hemstock 1 (including those properties in the name of Eastern 2 Magnesium Talc Company, specifically the Johnson Vermont 3 mine) and others, from any study conducted since 1967." 4 MR. KENNEDY: I will answer that. 5 I have before me documents which we are 6 ready to produce at this deposition pursuant to 7 the subpoena duces tecum, but will not, absent a 8 confidentiality stipulation, namely the one that 9 we already presented to you and it is now an 10 exhibit to this deposition. 11 MR. PRENTISS: Are you --12 MR. LEIBENSPERGER: I would like to add 13 something on the record. 14 On behalf of Windsor Minerals Inc., the 15 deposition subpoena that Mr. Prentiss just 16 read from was not served upon Windosr Minerals 17 or me as counsel for Windsor Minerals, and I have 18 never seen it, even as to right now, and I never 19 heard the request until you just read it. 20 Therefore, I reserve the right to object to 21 the scope of this subpeona, or at least to the 22 admissibility of any documents under that subpoenal 23 MR. HALKET: The documents that are on the 24 table belong to Engelhard Corporation. They do not 25

-	1	Hemstock 42
	2	in any way belong to Mr. Hemstock. They are not
	3	under his custody or control, and they were pro-
,	4	duced here as an accommodation to the plaintiff.
	5	They are not subject to that subpoena,
	6	and as I said, they were produced here solely as
7	7	an accommodation to the plaintiff.
14	8	BY MR. PRENTISS:
1	9	Q Are you familiar with the documents that
111	10	have been referred to by counsel that are here on the
	. 11	table?
· · · · · · · · · · · · · · · · · · ·	12	A Generally.
1	13	Q Do they cover research projects that were
	14	conducted by the company during the time you were vice
	15	president of research?
-	16	MR. KENNEDY: Objection, and the same
	17	instruction.
	18	Q Are those documents a part of the central
,	19	file of the company, as you have described the central
1	20	file in earlier answers in this deposition?
,	21	A I don't know.
	22	Q Have you ever seen those documents before?
	23	A Not all of them.
	24	Q Do those documents, any of them, relate
:	. 25	to research that was conducted by the company during the
:	!	

,		
	1	Hemstock 43
5	2	time you were either director of research or vice
	3	president of research?
	4	MR. KENNEDY: Same objection, and same
	5	instruction.
	6	Q Where else in the company where else in
	7	Engelhard Corporation, what other division conducts
	8	research and development?
	9	A The Engelhard Industry Division does
Adecades according	10	conduct research and development.
	11	Q To your knowledge, did the Engelhard
action and a second a second and a second and a second and a second and a second an	12	Industry Division conduct any research regarding chemical
recording to the second	13	contaminants or contaminant constituents of tale from
in the state of th	14	1967 to present?
No. of Contractions of Contrac	15	MR. KENNEDY: Same objection, and same
	16	instruction.
	17	Q Who is the head of research for Engelhard
	18	Industries Division?
	19	A Mr. Camran Aykan.
	20	Q Does Engelhard Industries Division deal in
	21	any way in tale?
	22	A Not to my knowledge.
	23	Q Are there any other divisions of the
	24	Engelhard Corporation besides the two that you have
.; .;	25	identified, Engelhard Industries and Minerals & Chemicals?
		·

	1	Hemstock 44
	ΙĮ	
	2	A No.
	3	Q To your knowledge, is all of the business
	4	of talc of the Engelhard Corporation conducted by the
	5	minerals & chemicals division of Engelhard?
	6	A To my knowledge.
	7	Q What is the business of Engelhard Industrie
	8	Division, generally, to your knowledge?
	9	A They are processors of precious metals.
	10	Q Is Eastern Magnesium Company presently
	11	associated in any way with the Engelhard Corporation, to
	12	your knowledge?
	13	A Yes.
	14	Q To your knowledge, what is the relationship
	15	A I don't know specifically.
	16	Q I don't have your affidavit in front of me
	17	Can you state for the record just where
	18	MR. KENNEDY: I am as confused as
	19	Mr. Hemstock in that regard.
	20	MR. PRENTISS: Does anybody want to clarify
•	21	MR. HALKET: Off the record.
	22	(A discussion was held off the record.)
	23	MR. HALKET: Eastern Magnesium is a separa
	24	corporation, all of the stock of which is owned,
	25	the best of my knowledge, by Engelhard Corporation

J 13-44-	1	Hemstock 45
•	2	Q To your knowledge, are the activities
	3	of Eastern Magnesium Talc Company governed in any way
	4	by the minerals & chemicals division of Engelhard
/N	5	Corporation?
	6	MR. KENNEDY: I don't know that this witnes
	7	is qualified to give an opinion in that regard.
	8	MR. PRENTISS: I am asking to his knowledge
	9	MR. HALKET: I don't understand the ques-
	10	tion. I would like it rephrased.
	11	Q Do you have any responsibility with regard
	12	to Eastern Magnesium Talc Company?
	13	A No, I do not.
	14	Q Did you ever?
	15	A No, I don't.
	16	Q When, to the best of your knowledge, did
	17	Eastern Magnesium Talc Company come into existence
	18	as a relative or subsidiary of Engelhard Corporation?
	19	A During the sixties.
	20	Q Do you know whether the Eastern Magnesium
_	21	Talc Company, the subsidiary of Engelhard, ever con-
(3)	. 22	ducted any studies regarding the chemical constituents
	23	or contaminants of tale?
	24	MR. KENNEDY: Same objection. Same
	25	instruction.

)	1	Hemstock 46
	2	Q Do you know whether there is a head of re-
And desired to the second seco	3	search and development for the Eastern Magnesium Talc
	4	Company, subsidiary of Engelhard Corporation?
	5	A Yes.
	6	Q Who is that?
	7	A I say yes. I know that there is not.
	8	(A recess was taken at this time, and upon
	. 9	returning, the following then ensued:)
.	10	BY MR. PRENTISS:
	11	Q Does minerals & chemicals division conduct
· • • • • • • • • • • • • • • • • • • •	12	the research and development for Eastern Magnesium Talc
	13	Company?
•	14	A Normally, they would.
•	15	Q In what circumstances would they not?
**************************************	16	MR. KENNEDY: Generally?
	17	(A discussion was held off the record between
	18	the witness and his counsel.)
	19	A We have never conducted research for Eastern
7	20	Magnesium Talc. We normally would, if there was research
	21	to be done.
	22	Q So
	23	A We have not done any.
	24	Q So to your knowledge, Eastern Magnesium
ا ا	25	Talc has not conducted research and development?
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	1	Hemstock 47
	2	A That's correct.
	3	Q What other entity besides minerals &
•	4	chemicals division conducted any research within Engel-
	5	hard Corporation, conducted any research regarding talc
	6	or potential contaminants or hazards within tale?
	7	MR. KENNEDY: Same objection, and same
	8	instruction.
	9	Q Has the minerals & chemicals division
	10	or any other division or subsidiary of Engelhard ever
	11	sought outside consultation in research into talc or
	12	contaminants in talc or chemical constituents in talc
	13	or potential health or safety hazards from talc?
	14	MR. KENNEDY: Same objection. Same
•	15	instruction.
	16	Q Where did the documents of the Engelhard
	17	Corporation, which have been brought here to this depos
	. 18	tion with which you say you are not familiar, come from
	19	Do you understand that question?
	20	MR. HALKET: If he does, I don't.
	21	MR. PRENTISS: When I depose you, I will
	22	make it clear.
	23	MR. HALKET: It is a compound question.
	24	A Yes, could you clarify it.
	25	Q You stated that you are familiar with some
	[]	

50 Hemstock 1 able to the Magistrate, if the Magistrate wishes 2 to view them, but you have to understand that our 3 objection is not that any one particular document may be privileged for any one of a number of 5 reasons, so I don't think the Magistrate has to 6 view the documents. 7 He just has to understand the legal argu-8 ment that we're making and the necessity for 9 some sort of confidentiality stipulation, so I don't 10 think you need a page by page reference, so I 11 will take them all with me, and is that satisfac-12 tory? 13 MR. PRENTISS: Sure. 14 Let me ask a couple of more questions. 15 BY MR. PRENTISS: 16 Who is the president of Engelhard Corpora-17 18 tion? There are two presidents to Engelhard Α 19 Corporation. There is a president of the Minerals & 20 Chemicals Division. There is a president of the Engel-21 hard Industries Division, and there is, I guess, the 22 corporate president, Mr. Irving Isko. 23 When you say the corporate president, 24 are you referring to the corporate president of one or 25

		1	Hemstock 51
		2	another of the divisions?
		3	A No. The presidents of the two divisions
		4	report to Mr. Isko.
		5	Q Do you know, can you identify, any person
		6	within the corporate structure of Engelhard who is the
		. 7	custodian of the documents of the corporation?
201721		8	A No, I cannot.
		9	Q Does President Isko have other officers
		10	who report to him besides the presidents of the two
2		11	divisions?
•		12	A Yes, he does.
		13	Q Is there a vice president, treasurer,
		14	secretary, et cetera of the corporation, of that struc-
,		15	ture of which Mr. Isko is the head?
		16	A Mr. Isko has a small corporate staff.
7		17	I can't specifically answer that question.
		18	MR. PRENTISS: I have nothing further.
		19	MR. CURRAN: No questions.
		20	(Continued on the next page.)
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	0	22	
		23	
		24	
) 1	25	
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سوسين الماكن فيدور المواضعة	1	Hemstock 52
مناء مؤكسونستم	2	MR. LEIBENSPERGER: I have no questions.
سيممانها	3	MR. DOLAN: No questions.
1	4	MR. KENNEDY: No questions.
	5	(Time noted: 12:05 P.M.)
	6	
	7	
- X	8	
(4)K	9	Subscribed and sworn to
	10	before me this day
	11	of , 1983
	12	
Sant.	13	
بمخشنا	14	
	15	
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	1	53
	2	CERTIFICATE
	3	STATE OF NEW YORK)
	4	COUNTY OF NEW YORK)
	5	I, JOSEPH R. DANYO , a
	6	Notary Public within and for the State of New Yor
	7	duly commissioned and qualified and authorized
	8	to administer oaths and to take and certify
	9	depositions, do hereby certify:
	10	That the foregoing deposition of Glenn A. Hemstock, taken by plaintiff,
	11	pursuant to subpoena and stipulation, at the
and the second	12	
	13	offices of Cahill Gordon & Reindel, Esqs., 80
A CONTRACTOR OF THE PARTY OF TH	14	Pine Street, New York, New York, on Friday,
	15	January 28, 1983 at 10:20 A.M.
	16	
	17	That I was attended upon the taking of said
, a	18	deposition by counsel as listed on page s 2 and 3;
	19	That said witness was first by me duly
	20	sworn to tell the truth, the whole truth, and
	21	nothing but the truth, and that he was thereupon
,	22	examined by counsel present; that I took down
4	23	the testimony of said witness in machine shorthand
4	24	and caused the same to be transcribed by a
The state of the s	25	person under my supervision.
	H.	

		54
AAAR	2	I further certify that I am not of counsel
ية المراجعة المستعملة	3	or attorney for any of the parties in said
	4	deposition and caption named, nor in any way
C	5	interested in the event of the cause named in
	6	said caption; and the same applies to the person
Arter and the state of the stat	7	who transcribed my stenotype notes.
**************************************	8	IN WITNESS WHEREOF, I have hereunto set
	9	my hand and official seal this day of
	10	Express 1583;
	11	
· • • • • • • • • • • • • • • • • • • •	12	Jeans R. Camo
	13	JOSEPH R. DANYO
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and the state of t	15	•
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2	-	EXHIBITS	-
3	PLAINTIFF'S EXHIBIT NO.		PAGE
4		Subpoena duces tecum dated	
5		December 15, 1982	15
6	est.	•	~
7	2	Stipulation dated January 10, 1983 signed by Mr. Prentiss and Mr. Kennedy	15
8		and Mr. Keimedy	
9	378	:	
10	ENGELHARD EXHIBIT NO.		
11	· }}'* 1	Proposed stipulation and order	
12	of ====================================	of confidentiality	16
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UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF RHODE ISLAND

DAVID HOWARD WESTFALL, IN HIS CAPACITY AS ADMINISTRATOR OF THE ESTATE OF THOMAS HOWARD WESTFALL AND IN HIS CAPACITY AS ADMINISTRATOR OF THE ESTATE OF BETTY E. WESTFALL,

Plaintiff

VS.

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C.A. No. 79-0269

WHITTAKER, CLARK & DANIELS, METROPOLITAN TALC COMPANY, INC., and OMYA, INC., itself and as successor to VERMONT TALC COMPANY, INC.,

Defendants

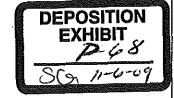
WINDSOR MINERALS, INC., itself and as successor to EASTERN MAGNESIA TALC COMPANY, INC., Defendant and

Third-Party Plaintiff

vs.

MINERALS & CHEMICALS PHILLIP CORPORATION,

Third-Party Defendant



Continued deposition of GLENN HEMSTOCK,

Rules of Civil Procedure, on March 16, 1983, at the offices of Decof & Grimm, One Smith Hill, Providence, Rhode Island, convening at 10:00 a.m., before Jeanne M. St. Hilaire-Derham Notary Public.

REPORTING ASSOCIATES RECEIVED
Shorthand Reporters
205 Howard Building MAR 2 5 1983
Providence, RI 02903
ADLER, PULLUUK & SHEEHAN

PENGAD CO. BAYORME, N.J. 07002 .

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       Edison, New Jersey 08818......On behalf of Engelhard
                                           Corporation and
21
                                           Glenn Hemstock
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•	. 7	NO.	(All exhibits were marked prior	
	8		to commencement of deposition.)	•
	9	1	Inter-Department Memorandum dated October 9, 1972 from E. J. Triglia	
	10	. 2	A document to the file from J. M. Zimmermann dated October 30, 1980	
	11	3	A Technical Service Request from	
	12	J	J. H. Shafer dated February 28, 197	3
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	3	12	Inter-Department Memorandum dated August 22, 1972 from J. H. Shafer
	4 5	13	Inter-Department Memorandum dated September 16, 1971 from T.D. Oulton
	6	14	Technical Service Request from J. H. Shafer dated March 30, 1979
•	7	15	Document entitled "History of Asbestos- Related Mineralogical Terminology"
	9	16	Inter-Department Memorandum dated December 1, 1977 from C. Y. Haas
	10	17	Technical Service Request from A. L. Yunko dated October 12, 1973
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· ·	25	26	Letter from U.S. Dept. of Labor dated July 19, 1979 to Mr. J. Howard Shafer
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,	3	27	Note from the desk of G.A. Hemstock dated September 1, 1972	
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•	6	29	Purchase Requisition dated May 1, 1973 for Johns-Manville Research & Engineering	
	7 8	30	Center Letter from the Minerals & Chemical	
	9	30	Division dated November 21, 1977 to Bobby F. Craft, Ph.D.	
	10	31	Conversation memo dated February 6, 1979	
	11	32	Pages of calculations dated January 19, 1977	
	12	33	Inter-Department Memorandum dated August 3, 1979 from R.E. Lomas	
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CKEAD CC	21	40	Inter-Department Memorandum dated April 20,	
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	23	4.1	Technical Service Request dated March 11, 1982 from R. M. Kazimir	
(24 25	42	Letter from the Minerals & Chemicals Division dated October 2, 1980 to Mr. Mark Palenik	
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,	1		EXHIBITS (Continued)
· .	2	NO.	
	3	43	Document entitled "The Basic Mineralogical Dichotomy in the Selevan Epidemiology Study"
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	5	44	Inter-Department Memorandum dated September 26, 1977 from T. D.Oulton
	6	45	Inter-Department Memorandum dated July 17, 1979 from E. J. Triglia
	7 8	46	Group of documents, first page of which is a list of fignures
		4.7	
	9	47	Document entitled "VAG Core Samples"
	10	. 48	Technical Service Request dated July 27, 1982 from K. L. Kayler
<u>.</u>	11	49	Documents contained on pocket of binder
		50	Documents contained in binder
	13 14	51	Letter from Minerals & Chemical Division dated June 13, 1979 to Mr. John Brown
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8	16	,	* * * * * * * * *
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(PLAINTIFF'S EXHIBIT NOS. 1 THROUGH 51 WERE RECEIVED IN EVIDENCE FOR IDENTIFICATION)

MR. KENNEDY: Just to reiterate what we have said during the last deposition session, Dr. Hemstock has no documents in his possession.

Consequently, he could not respond to the subpoena duces tecum. Engelhard Industries, however, as an accomodation, has searched its records and has provided me with copies of documents or the originals of documents, if the originals existed, which we have brought with us. They've already been copied so we can mark up the copies rather than mark up the originals that have been provided by Engelhard. We have withheld very, very few documents which we consider privileged. Those are documents which are between counsel and the client, and at some time later we will provide you with a catalogue of those documents so that you will be able to refer to that in the event you feel that you can force us to divulge those documents.

MR. HALKET: Engelhard Industries is a name of a division.

MR. KENNEDY: We also discovered yesterday some records, research records, that are in bound volumes, and those have been culled and there are some pages that have been photostated relative to the call

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of the subpoena duces tecum.

MR. PRENTISS: Where are those now?

MR. KENNEDY: They're in the batch of documents which you've already copied.

MR. PRENTISS: When will the catalogue of documents for which you claim privilege be made available?

MR. KENNEDY: Midweek next week, only because everybody is going to be out of town running here and there. I think it would be a short list.

MR. PRENTISS: Anything further preliminarily?

MR. KENNEDY: That does it.

MR. HALKET: I would like to say one more thing for the record. The records that Engelhard searched were the records of its Research Division only. It may have searched some other records, but we can only certify that we searched the records of the Research Division.

MR. PRENTISS: Very well.

MR. LEIBENSPERGER: Any stipulations with respect to objections?

MR. PRENTISS: Well, I would suggest that we preserve all objections other than to form of question until trial unless you propose any other stipula-

tion.

MR. LEIBENSPERGER: No. That's acceptable to me.

MR. KENNEDY: That's fine.

MR. PRENTISS: What about signing of the deposition? Do you require it?

MR. KENNEDY: I would like the deposition to be reviewed and then signed with or without any corrections as is necessary.

MR. PRENTISS: Shall we proceed?

MR. KENNEDY: All right. I just want to make one other statement, that we have modified the secrecy stipulations to the extent that it is acceptable to everybody here, and we're going to circulate that during the deposition.

MR. PRENTISS: What I'd like to do is just take an opportunity to look through the amendments and sign it. I don't anticipate that I'll have any objection, but at the break, I'll sign it and I'll represent -- I don't expect problems, and we can mark that stipulation as an exhibit to the deposition at that time.

Okay?

MR. KENNEDY: All right, fine.

GLENN HEMSTOCK, first having been duly sworn by the Notary Public, testified as follows:

EXAMINATION BY MR. PRENTISS:

Dr. Hemstock, you are the same Glenn A. Hemstock whose deposition was begun in this proceeding on Friday,

January 28, 1983 in the offices of Cahill, Gordon & Reindel, 80 Pine Street, New York, New York?

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MR. PRENTISS: Doctor, I'll remind you that you are again under oath, and that this deposition is a continuation of the deposition that was begun at that time.

THE WITNESS: Yes.

- Q Doctor, when did your employer first become involved in the business relating to talc?
- A During the late 1960's.
- Q How did it become involved in the talc business?
- 16 A I don't know.
 - Q When did your employer first engage in either the production or sale of talc?

MR. KENNEDY: Excuse me. Could we have a clarification, Dan? The production is one question, and the sale is another question.

- Q Okay. I'll divide it up. When did your employer first become involved in the sale of talc?
- A I do not know.
 - Q When did it first become involved in the production of

Vermont?

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1	A	I'm not aware of that.
2	Q	Was it through a subsidiary that your employer owned
3		the Johnson, Vermont mine?
4	Å	I don't know.
5	Q	Did you personally undertake any involvement with your
6	,	employer's business in talc?
7	A	No, I did not.
8	Q	Did you personally oversee any investigations, studies
9		or research regarding talc?
10	A	Only in very general terms.
11	Q	In what terms were those?
12	A	The Research Department is a service department for
13		the division. That means that we, at request of other
14		departments, will provide service of a technical nature
15 .		relevant to our products. In that context, I was
16		generally involved in activities of a technical nature
17		in tale.
18	Q	When you said division, are you referring to the
19	 	Minerals and Chemicals Division of Engelhard Corpora-
20		tion?
21	A	Yes, I am.
22	Q	And department, by department, are you referring to
23		the Department of Research and Development?
24	A	Yes, I am.
25	Q	That is the Department of Research and Development of

That is the Department of Research and Development of

the Minerals and Chemicals Division of Engelhard

What was the nature of the research that took place

within that department regarding talc?

Engelhard Corporation?

Corporation.

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1		of running total chemical analysis, particle size
2		distribution, x-ray diffraction, oil absorption,
3		surface area. Those are the major ones.
4	Q.	Were those facilities used in regard to research on
5		talc?
. 6	A	They were used as requested by our clients.
. 7	Q	Who were your clients?
8	A	Our clients in this case were other departments within
9	•	Engelhard Corporation, within the Minerals and Chemi-
10		cals Division of Engelhard Corporation.
11	Q	Were you ever requested, and by you I mean the Researc
12	<u>.</u>	and Development Department you referred to, to test
13		talc for content of asbestos or possible asbestos
14		contamination?
15	A	Yes.
16	Q	When was the first time, to your knowledge, that your
17		department conducted tests of that nature?
18	A	I don't recall.
19	Q ·	Would it have been as early as the early seventies?
20	A	It may have been.
21	Q	Over what period of time has that kind of testing taker
22		place?
23	A	It has occurred intermittently over, I suppose, one
24		could say a number of years.
25	Q	Up until the present?

		· · · · · · · · · · · · · · · · · · ·
1	A	Yes, up until the present.
2	Q.	Do you know the purpose for which your department was
3		requested to make that kind of research?
4	Α .	Generally, it was in response to a request from the
5		Marketing or Sales Department.
6	Q	One of the clients that you referred to is the Engel-
7		hard excuse me, is Eastern Magnesia Talc Company?
8	A	I don't understand the question.
9	Q	You made reference I'll rephrase the question. You
10	į	made reference to your department conducting tests
11		and studies as a result of requests of clients. Do
12		you remember that answer?
13	A	Yes.
14	Q	You state clients. Is one of those clients the
15		Eastern Magnesia Talc Company?
16	A	To the degree that Eastern Magnesia Talc Company was
17		a was the source of the talc which Engelhard
18		Corporation sold.
19	Q	And to what degree is that?
20	A	Well, that is the source of the talc that we produce.
21	Q	Is that the only source of the talc that your employer
22		produces?
23	A	To my knowledge, yes.
24	Q	To your knowledge, is that has that always been the

only source of talc which your employer produces?

-	J	. 10
1	· A	To my knowledge, yes.
2	Q	Are you aware that asbestos or asbestiform minerals
3		sometimes are associated with talc and talc deposits?
4		(OBJECTION BY ALL DEFENSE COUNSEL)
5		MR. KENNEDY: Are you talking about in
. 6		general?
· 7		MR. PRENTISS: In general.
8	A	In trace quantities in some samples, in some deposits,
9	,	some areas of some deposits.
10	Q	Is it your testimony, then, that asbestos at maximum
11		is only present in general in talc in trace quantities?
12	3	(OBJECTION BY ALL DEFENSE COUNSEL)
13		MR. CURRAN: An objection for one Defendant
14		will be an objection for all.
15	•	MR. PRENTISS: Dr. Hemstock, I'm going to
16		ask the Reporter to read back the question just before
17		the last one, and before you answer the question, I
18		want you to understand that I'm asking you from your
19		knowledge in general as to talc, not your knowledge as
20		to any specific tales produced by your employer.
21		THE WITNESS: Yes, I understand.
22		(PREVIOUS TWO QUESTIONS READ BY REPORTER)
23	Q	Could you answer that question?
24	_ A	Yes.
25		MR. KENNEDY: Yes, you can answer it; or

yes is the answer?

MR. LEIBENSPERGER: He's answered that one. Q

- Your answer to the question, and understanding the question is phrased in general, not with respect to any particular talc, your answer is yes?
- Yes. A

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Is it your understanding that -- let me ask you this. Q Which types of asbestos is it, to your understanding, that are generally associated with tale or talc deposits?

(OBJECTION BY ALL DEFENSE COUNSEL)

MR. SLOANE: Do you understand the question?

THE WITNESS: I understand the question.

- A · The only asbestos mineral that I'm aware of that exists in trace quantities in talc is chrysotile.
- To your knowledge, is tremolite asbestos ever asso-Q ciated with talc or talc deposits?

(OBJECTION BY ALL DEFENSE COUNSEL)

- I don't consider tremolite to be an asbestos mineral. Å
- But do you understand that tremolite is sometimes Q associated with talc?

(OBJECTION BY ALL DEFENSE COUNSEL)

THE WITNESS: In general?

MR. PRENTISS: Yes.

In general, sometimes. A

I'm not a

Do you know what an amphibole is?

You have heard the term?

I have heard the term.

I can only answer that in general terms.

Q

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geologist.

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CO., BAYONNE, N.L.

- Q Where, to your knowledge, did the talc come from that was given to your department for such testing?
- A Well, generally from the Emtal Plant and mine; occasionally from competitive sources.
- Q When you refer to the Emtal Plant and mine, can you describe that for the record, what that is?
- Well, again, my description will be general. The talc products produced by Emtal are mined underground from an underground deposit and are hauled a short distance to a plant where the raw ore is processed, processed primarily in two respects: One with respect to changes in particle size and size distribution by grinding; and in some cases also by floatation, primarily to remove colored impurities to improve brightness.
- Q Is Emtal an acronym or trade name for Eastern Magnesia
 Talc Company?
- A I believe so.

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- Q When you say Emtal, are you referring to talc produced by Eastern Magnesia Talc Company?
- A I'm referring to talc produced by the Minerals and Chemicals Division of Engelhard Corporation.
- Q When you refer to that talc, is that only talc that's produced by Eastern Magnesia Talc Company?
- A I believe so.
- Q Do you have some doubt about that?

- There is doubt on the accuracy of my knowledge on that. That is to say, there may be talc produced in some Q 2 other place or from some other mine that is marketed 3 at Emtal? I don't know. I'm not suggesting that. 5 A In the tests of which you're Q You don't know? Okay. aware that were run on Emtal talc, was there ever 7. found presence of chrysotile asbestos? 8 As indicated earlier, we have found on some samples 9 Ą from some limited areas only trace amounts, like indi-10 vidual fibers, of chrysotile, what we believe to be 11 chrysotile-type asbestos. 12 13 Q When you say from some areas, to what are you refer-14 ring? Samples picked more or less at random either in plant 15 or in mine. 16 Are you talking of samples of processed talc? 17 Q It could be. 18 What else could it be? 19 Q
 - It could be samples pulled from areas of the mine. 20
 - You mean ore? Q 21
 - 22 A Ore.
 - 23 Q Raw ore?
 - 24 A Right.

Q So, your department has tested both processed talc and raw ore from the Emtal mine for presence of chrysotile?

MR. HALKET: Objection. That's not what he said.

(OBJECTION BY ALL DEFENSE COUNSEL)

Q Is that accurate, or is that inaccurate?

MR. KENNEDY: Would you like the question read back? Would you read the question back, please?

(PREVIOUS QUESTION READ BY REPORTER)

A Yes, on occasion.

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- Q When you say on occasion, what is it that prompts such an occasion?
- As 'I indicated earlier, we have had no continuous ongoing study of mineral impurities in talc. It is usually -- well, it has been triggered by requests from some of our research clients for such analyses.
- Q Then who are your research clients besides Eastern
 Magnesia Talc Company?
- A Well, I'm referring to other departments within the organization. I'm referring -- I'm only referring to that. I'm not referring to organizations outside of the company.
- Q And what other departments would those be?
- A Well, Manufacturing and Sales and Marketing.
- Q When you say the Manufacturing Department, what does

		•
1		that comprehend?
2	A	That's the group that has the responsibility for the
3	•	mining and processing of the tales that we sell.
4	Q	Is that Manufacturing Department distinct from Eastern
5		Magnesia Talc Company?
6	A	I don't believe so. I don't know for certain.
7	Q	Who is it, to your understanding, which entity is res-
8		ponsible for the manufacturing and mining of talc at
9		the Johnson mine today?
10	A	Well
11		MR. KENNEDY: That is who individually or -
12		MR: PRENTISS: No. I said which entity.
13		MR. SLOANE: Which corporate entity?
14		MR. PRENTISS: Whatever entity.
15	A	Well, I look on the Minerals and Chemicals Division as
16		an entity.
17	Q	Yes, sir.
18	A	And the Emtal product line is only one of several
19		product lines that the Minerals and Chemicals Division
20		mines and processes and sells.
21		MR. SLOANE: Listen to the question and
22		answer the question. That's not the question he asked
23		THE WITNESS: Okay.
24		MR. SLOANE: Read the question back.
25		ADDENTIC OIRCLIN DEV BEDODLED

1	A	To my understanding, it is the Minerals and Chemicals
2		Division.
3	Q ·	There is a corporation called Eastern Magnesia Talc
4		Company; is that not correct?
5	A	I don't know.
6	Q	Earlier in your testimony, you made reference to the
7		Emtal mine and the Emtal facility for processing talc
8		ore; do you remember that?
. 9	A	Yes.
10	Q	When you made reference to the Emtal mine, are you
11		referring to the Johnson, Vermont mine?
12	· A	Yes, I am.
13	Q	And the Emtal facility is a facility at or near the
14		Johnson, Vermont mine?
15	A	Yes.
16	Q	Now, you testified that your department has tested
17		both processed talc and raw talc ore from the Emtal
18	} }	mine for presence of chrysotile asbestos; is that
19		correct?
20	A	Yes.
21	Q	Has your department in its research found chrysotile
22		asbestos in both processed talc and raw ore from the
23	[Emtal mine?
24	A	Yes.
25	Q	In your earlier answer, you referred, I believe, to

į	}	
1		the presence of chrysotile in asbestos that your
2		department found as being trace quantities. Is that
. 3	Α .	That is correct.
4	Q	What do you define as trace quantities?
5	Α,	Trace quantity is a level of a component that can be
6		qualitatively identified, but not quantitatively
7		measured.
8	Q	And can you characterize that as being less than some
9		threshold percentage?
10	A	Well, no. I can characterize it as an individual
11		fiber, but in terms of characterizing it as less than
12		a certain percentage, no, I cannot.
13	Q	Is there a threshold percentage below which the re-
14		search materials that are available to you cannot
15		quantify as to the presence of chrysotile asbestos?
16	A	Obviously, if there were less than a single fiber, we
17		would not be able to characterize to quantify it.
18	Q	So you'll understand my question, let me give you a
19		brief explanation. I'm asking whether there is some
20		percentage below which quantification is impossible;
21		that is, less than one percent or less than five per-
22		cent or less than ten percent. Do you understand the
23		question?
24		MR HALKET: Objection I don't

(OBJECTION BY ALL DEFENSE COUNSEL)

MR. HALKET: Are you talking characterized 1 by weight or by molecule number? 2 MR. PRENTISS: Okay. I understand. 3 Is there any standard format by which percentage content of a tale, of a contaminant such as chrysotile 5 asbestos, is stated in terms of weight, molecular weight, volume or the like? There are two types of tests. One can use total chemical analysis or x-ray diffraction, and those tests are limited to identifications of perhaps one to two per-10 cent. There is another type of test such as the electron microscope in which one can identify an individual 12 particle. 13 MR. HALKET: and answer it. 15 16

Listen to the question again That's not the answer to his question. Why don't we read that question again.

(PREVIOUS QUESTION READ BY REPORTER)

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In other words, if I were to tell you that I have a Q sample of talc that contains three percent asbestos, is there a standard usage in the field with which you are familiar that you could determine that that three percent meant three percent by weight, three percent by volume? How is it commonly stated?

(OBJECTION BY ALL DEFENSE COUNSEL)

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1	A	I'm not sure that I understand your question.
2	Q	How do you commonly state degree of contamination of
3		talc by some other mineral constituent?
4		(OBJECTION BY ALL DEFENSE COUNSEL)
5	A	If there is sufficient there to detect it by any
6		standard methods, then a percent by weight would be
7		one way.
8	. Q	Is that the normal way that you would use in charac-
9		terizing a talc?
10		(OBJECTION BY ALL DEFENSE COUNSEL)
11	A	If there were sufficient of the contaminant present
12		that I could express it meaningfully, yes.
13	Q.	You would say percentage by weight?
14	Á	Percentage by weight would be an acceptable method.
15	Q	For example, the talc from the Emtal mine in Johnson
16		Vermont, the processed talc is not pure talc; is the
17		correct?
18		(OBJECTION BY ALL DEFENSE COUNSEL)
19	A	I think it is
20		MR. KENNEDY: Excuse me, Dan, what do you
21		mean by pure talc?
22		MR. PRENTISS: Just that, pure talc ore.
23		MR. KENNEDY: What kind of talc are you
24		talking about?
25	ł	MR. PRENTISS: Any of the tales.

1		MR. KENNEDY: If you can answer it, that's
2		what he wants.
3	A	To the degree that there is basically nothing pure in
4		nature, so any ore that we would dig out of the mine
5	•	would contain in all probability something other than
· 6		the major constituent.
7.	Q	Does talc from the Emtal mine contain chlorite?
8		MR. HALKET: The ore or the
9		MR. PRENTISS: Processed talc.
10	A	I don't know.
11	Q	Has your department ever tested processed talc from
12		the Emtal mine to determine the constituent minerals
13		in that tale?
14	A	Yes, we have.
15	Q	Are some of the constituent minerals is one of the
16	<u>}</u> 	constituent minerals chlorite?
17	A	I don't recall that.
18	Q	You stated earlier in an answer that the x-ray diffrac-
19	<u> </u> 	tion and one other method of analysis of talc from
20		mineral constituents was limited to one to two percent;
21		do you remember that answer?
22	A	Yes, I do.
23	Q	When you say limited to one to two percent, what do
24		you mean?
		Mine would be one to two newcont by weight

1	Q	By that answer, are you saying that those methods of
2		analyses cannot detect constituents of less than one
3		or two percent composition by weight?
4	A	That is correct. It depends somewhat upon the consti-
5		tuent one is looking for, but it's in that range.
6	Q	Now, one of the tests that you mentioned was x-ray
7		diffraction. What was the other test that you grouped
8		with x-ray diffraction as being limited to one to two
9		percent?
10	A	I don't think I said one to two percent in that case.
11		Chemical analysis is another method of identifying
12		mineral or at least a chemical species, and from that
13		you might be able to infer what minerals were present.
14	Q ·	And what's the limit of chemical analysis for determi-
15		nation of mineral species?
16	A	That depends on the particular combination of minerals
17		that happen to be there.
18	Q	You can't
19	A	I couldn't answer definitively.
20	Q	But you do say that x-ray diffraction is limited to one
21		to two percent in its determination of mineral species?
22	A	That is my understanding.
23	Q	Has any test that has been done by your department of

talc from the Emtal mine found by x-ray diffraction

the presence of chrysotile asbestos?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A Not to my knowledge.
 - Q Are you aware of all of the -- of the results of all of the tests that have been run by your department on Emtal talc?
- A Only generally.
 - Q Would you be aware if x-ray diffraction methods had determined the presence of chrysotile asbestos in talc from the Emtal mine?

(OBJECTION BY ALL DEFENSE COUNSEL)

11 A Possibly.

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- Q Dr. Hemstock, has your department ever had outside laboratories or facilities test talc from the Emtal mine?
- A Yes, on occasion.
- Q By outside laboratories or facilities, I'm referring to a business or a laboratory which is not connected with your employer?
- 19 A That is correct.
 - Q You understand that? Your answer is that on occasion, your department has sought an outside laboratory to perform some sort of analysis on Emtal talc?
- 23 A That is correct.
 - Q To your knowledge, has any other person within your company -- I'm referring to Engelhard Corporation --

		·
1		sought outside analysis on talc from the Emtal mine?
2	A	Not to my knowledge.
3.	Q	Has any person, to your knowledge, has any person
4		within your company sought outside analysis of any
5		talc other than talc from the Emtal mine?
6	A	Yes.
7	Q	What was the purpose for seeking outside analysis
8		either of Emtal talc or talc other than Emtal?
9		MR. HALKET: I'm going to object to that
10	,	question. It is ambiguous.
11		(OBJECTION BY ALL DEFENSE COUNSEL)
12	Α .	The reason was that we either did not have the skills
13		to carry out the tests, or we did not have the instru-
14		mentation in-house.
15	Q	What is the instrumentation that you have in-house
16		that's available for analysis of talc for mineral
17		constituents?
18	A	Could you be more specific?
19	Q	I'll try. Do you have laboratories at your disposal
20		within your department?
21	A	Yes.
22	Q	What analytical instruments do you have in your labora-
23		tories for the testing of talc for mineral constituents
24	. А	Well, I mentioned x-ray diffraction.
25	0	Yes:

A	If the quantities of mineral present is adequate,
	differential thermal analysis. We do have light
	microscopes equipment for looking at particle shape,
	although that is not a positive identification of
	mineral species. Today we do have a scanning electron
	microscope.
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- Q And when did you obtain the scanning electron microscope?
- A In 1982.

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- Q When did you obtain the x-ray diffraction facility?
- A I don't recall.
- Q Have you had that x-ray diffraction facility since you began -- your department began the testing of Emtal tale?
- A We had it prior to that time.
- Q Do you have a transmission electron microscope?
- A No, we do not.
 - Q Is the x-ray diffraction machine that you have capable of detecting chrysotile asbestos in talc samples if such mineral were there?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A Only if present in sufficient quantity.
- 23 Q What quantity would that be?
 - A Oh, perhaps greater than five percent.
 - Q Are you aware of the state of the art analytical metho

- 26 that are available for the testing for the mineral constituents of talc? Á Generally. Are there x-ray diffraction machines that have a finer Q sensitivity, if that's the proper word, than the one that you have? 6 Not to my knowledge. A 7 Do you know of a technique of analysis called electron Q 8 microprobe? 9 Yes, I do. Α 10 Is that one technique that is used for detection of Q 11 asbestos minerals? 12 Not to my knowledge. A 13 Is transmission electron microscope one instrument Q 14 that is used for detection of mineral constituents 15 of talc? 16 Transmission electron microscopy will measure particle A 17 shape only. 18 Is that an important part of analysis of talc, to Q 19 determine its mineral constituents? 20 It could be. It's not definitive. A 21 When you speak of particle shape, that's what generally Q 22 termed morphology?
- Yes, that's correct. A 24
- Morphology is one of the means of determining whether 25 Q

certain mineral constituents are present; is that correct?

(OBJECTION BY ALL DEFENSE COUNSEL)

A Yes.

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- Q When did your department or when did your company first send out samples for outside analysis?
- A I don't recall.
 - Q Was that at the very beginning of your involvement, your department's involvement, in the testing of talc?
- A I don't know.
- Q You have no recollection of when it was that you sought outside laboratory facilities?
- 13 A No, I don't.
 - Well, you stated in answer to an earlier question that you sought these outside facilities to obtain analytical skills that weren't available within your own department?
- 18 A Correct.
 - Q When did you first determine that your own department did not have sufficient analytical skills or methods to perform the tests?
 - A Oh, it was generally in the seventies. I could not be more specific.
 - Q Would it have been after you had spent some period of time doing your own in-house analysis?

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1	A	Perhaps.
2		(RECESS)
3	Q	Dr. Hemstock, is it your testimony that the testing
4		of Emtal talc has found some chrysotile fibers?
5		(OBJECTION BY ALL DEFENSE COUNSEL)
. 6		MR. KENNEDY: When one Defendant objects,
7.		it counts for everybody.
8	A	No.
9	Q	Has your department ever found chrysotile asbestos
10	·	in Emtal talc?
11		(OBJECTION BY ALL DEFENSE COUNSEL)
12	A	Yes.
13	Q	What was the form of the chrysotile asbestos that you
14		found?
15		(OBJECTION BY ALL DEFENSE COUNSEL)
16	A	It was present in trace amounts in some samples.
17	Q	What was the morphology of those trace amounts that
18		you found?
19	A	They were fibers.
20	Q	What were the size of the fibers?
21		(OBJECTION BY ALL DEFENSE COUNSEL)
22	A	Variable.
23	Q	What was the maximum size that you recall?
24	. A	I don't recall.
25	Q	What was the general range of sizes that was found?

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5		length of the fiber?
6	A	That's correct.
7	Q	Did you find any greater than one micron in length?
8	A	In some samples.
9	Q	Did you find any less than one micron in length?
10	A	In some samples.
11	Q	What generally was the range of aspect ratios of the
12		fibers that were found?
13		(OBJECTION BY ALL DEFENSE COUNSEL)
14	A	I don't recall.
15	Q	Dr. Hemstock, I'm going to start going through some of
16		the documents that have been provided in connection
17		with this deposition. These have been marked, and I'm
18		going to ask you just to identify them as I show them
19		to you. Showing you Plaintiff's Exhibit No. 1, can
20		you identify that, please?
21		MR. KENNEDY: Can we just get one thing
22]] 	straight. How would you like him to identify them,
23	{	by date, by department?
24.	<u> </u>	MR. PRENTISS: The most succinct manner he
25		can think of to identify it so that it will be clear
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(OBJECTION BY ALL DEFENSE COUNSEL)

When you speak of one micron, are you referring to the

Generally in the one micron range.

Generally --

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for the record. I think that the kind of documents are so diverse --

MR. SLOANE: Just say Plaintiff's Exhibit No. 1.

MR. PRENTISS: What is Plaintiff's Exhibit 1

MR. HALKET: If you're asking him to describe the document by reading its face and saying how many pages it is, I don't have any objection to that; but I want to make it clear that by so doing he's not testifying to the -- that he has the knowledge sufficient to lay a foundation as to the accuracy of the information in the document or its admissibility in evidence. You're just asking him to read a piece of paper that you've given him.

MR. PRENTISS: I'll be asking those questions about each one of the documents.

MR. HALKET: I just wanted to make it clear.

- Q Do you know what that document is?
- A Generally, yes.
- Q Have you ever seen it before?

MR. KENNEDY: Well, let's just clarify this
He has seen all these documents within the last two
days. So, why don't we assume that if he says no, he
hasn't seen them before, he's really meaning no, I
haven't seen them before they were shown to me the

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tion. Okay?

3	•	MR. PRENTISS: Fine.
4	A	Not that I recall.
5	Q	And do you know where it came from?
6		MR. KENNEDY: That's of your own knowledge.
7	A	No.
8		MR. KENNEDY: He can read the document and
9		tell where it came from.
10		THE WITNESS: Yes.
11	Q	The face page of that document is a form entitled
12		"Inter-Department Memorandum, Minerals and Chemicals
13		Division." Do you recognize that form at all?
14	A	Yes, that's a standard memorandum form that our divi-
15	•	sion uses.
16	Q	Attached to the standard memorandum form is a page
17		which carries at the top the label "TSR NO.: SL 43872D
18		What is a TSR?
19	A	That's a TSR is a Technical Service Request.
20	Q	And what is that?
21	A	That is a document that is used for committing to
22		writing requests that are made by departments outside
23		of Research and Development.
24	Q	By that you mean, would this be a request for analysi
25		by one of the outside laboratories that you discussed

other day by counsel in preparation for this deposi-

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1		earlier in your testimony?
2	A	It would be a request for analyses from outside the
3	•	Research and Development Department, not necessarily
4		an outside laboratory.
5	Q	What services outside the Research and Development
6		Department other than outside laboratories would a
7		TSR be directed to?
8	A	Would you clarify the question, please?
9	Q	Sure. A TSR can request a service from some person
10		other than the Research and Development Department,
11		is that
12	A	No. That's a Technical Service Request.
13	Q	Yes?
14	A	It's filled out by one of the requesting departments
15		outside of R & D for R & D assistance.
16	Q	And so, a TSR, this TSR is something that the well,
17		can you tell me from looking at that, is that a request
18		that was received by the Research and Development
19		Department?
20		(OBJECTION BY ALL DEFENSE COUNSEL)
21	A	Yes, I would say so.
22	Q	On what basis would you say so?
23	A	Mr. Triglia is a member of the Research and Development
24		Department, but the this form
25	Q	Now you're referring to page three of four pages of

	1	33
1		Exhibit 1?
2	А	That's correct.
3	.ó	Yes?
4	A	This form would have been filled out by the requestor
5		outside of R & D.
6	Q	What is page two of Exhibit 1?
7	A	This is a description of the results of the tests,
8		of the analytical tests.
9	Q	By whom were those tests done?
10		MR. KENNEDY: Could I just clarify one
11		point? These answers that you're getting are not
12		from the personal knowledge of Mr. Hemstock, but just
13		by his reviewing the document. Do you understand that?
14		THE WITNESS: That is correct.
15		MR. KENNEDY: ' He said he hasn't seen the
16		document before.
17		THE WITNESS: A general knowledge, but not
18		specific knowledge.
19	Q	Are you finished with your answer?
20		THE WITNESS: Would you repeat the last
21		question, please?
22		(PREVIOUS QUESTION READ BY REPORTER)
23	Q	Are you finished with your answer?
24	A	Yes.
25	Q	Those analytical tests would have been performed by

the Research and Development Department?

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2	A	Not entirely.
3	Q	By whom else would the tests have been run?
4	A	The document states the that the scanning electron
5		micrograph were run by a laboratory at the Georgia
6	,	Institute of Technology
7	Q	Would the testing by the Georgia Institute of Tech-
8		nology have been done at the request of the Research
9		and Development Department?
10	A	It probably would.
11	Q	Under what circumstances would it not have been?
12	•	(OBJECTION BY ALL DEFENSE COUNSEL)
13	A	I can't recall a circumstance where it would not have
14 ·		been.
15	Q	When the Research and Development Department receives
16		a TSR, is it the customary practice of the Research
17		and Development Department to keep a copy of that TSR
18		together with whatever response was sent to the TSR?
19		(OBJECTION BY ALL DEFENSE COUNSEL)
20	A	That would be normal procedure.
21	Q	You testified at the deposition on January 28 that you
22		are the official within your company who has the most
23		direct control and custody over those files of the
24	•	company related to the Research and Development Depart.
25		ment, did you not?

(OBJECTION BY ALL DEFENSE COUNSEL)

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2	-	MR. SLOANE: Are you asking him whether
3	,	he testified to it, or whether that's true?
4		MR. PRENTISS: I'm asking if he testified
5		to it.
6	,	MR. SLOANE: The record speaks for itself.
7	•	Whether he testified to it or not is in the record.
8		MR. PRENTISS: Your objection is noted.
9		MR. KENNEDY: Do you recall what you
10		first of all, do we have a copy of the record? That'
11		the best evidence.
12	-	MR. PRENTISS: This is not trial. This is
13	,	a deposition, and we'll hear objections. I don't want
14 .		to hear instructions; okay?
15		MR. KENNEDY: I understand, but it is an
16		unfair question to start asking him whether or not he
17		said something some time ago without an opportunity
. 18		for him to review it. You're not trying to play games
19		with him, you're trying to get facts.
20	Q	Dr. Hemstock, do you have the question?
21	A	I would need to review the previous testimony to be
22		sure of that.
23	Q	Dr. Hemstock, are you the official within your company
24		who has most direct control and custody over the
25		records related to the Research and Development Depart-

ment?

Α

to be filed by personnel, various personnel within my department. I do not have direct control over them. Who does? Q There has been no one designated as such. 6 Who has access to those records? Q They're accessible to members and are maintained pri-A 8 marily for members of the R & D Department. 9 You are the head of the R & D Department? Q 10 That is correct. 11 Are they accessible to anyone else? Q 12 They could be on request. 13 A request to whom? Q 14 It would probably be a secretary who would -- who 15 knows the file coding systems that we use, and if the 16 request were made to her and it -- and the document 17 was not kept in a -- in any special file, it would be 18 available to the requestor. 19 To anybody in the company? Q 20 Within reason. We might require the department head A. 21 to indicate whether that document should be made avai-22 lable to an individual on occasion. 23 Who might require? Q 24 The -- well, my secretary might ask me if there were 25

I do not have direct control. The documents happen

1		any reason why such and so and so should not have
2		access to that file.
3	. Q	It would be your decision as to who should have access
4		and who should not have access; isn't that right?
5	A	It could be.
6	Q.	Who else would have that decision?
. 7	A	Our legal department could have that authority as well.
8	Q	Who makes the decision as to who has access to the
9		documents?
10	A	Normally, I would, if it were something that were con-
11		sidered non-routine.
12	Q	You may on occasion consult legal counsel in making
13		your decision; is that right?
14	A	On occasion, I might.
15	Q.	Other than that, it's your decision; is that right?
16	A	Generally, that is correct, that's true.
17	Q	Now, Exhibit 1 is a document that can you tell me
. 18		whether that came from the files of the Research and
19		Development Department of your company?
20	A	I have no way of knowing that.
21	Q	Did anybody request of you permission to remove that
22		document or any other documents from the Research and
23		Development Department?
24	i i	MR. KENNEDY: Are you talking about the
25		documents that we've produced?

MR. PRENTISS:

Yes.

MR. KENNEDY: That was done through legal 2 counsel of Engelhard Corporation. That's how these 3 documents were gathered. MR. PRENTISS: There's a question pending. 5 I'd like an answer. 6 Yes. 7 Who requested of you? Q 8 Our legal department. 9 Q When? 10 I don't recall. 11 How long ago? 0 12 Generally in the last year. Α 13 Were you requested to gather up certain documents by Q 14 legal counsel? 15 (OBJECTION BY ALL DEFENSE COUNSEL) 16 MR. KENNEDY: That goes to attorney-client 17 privilege. I'm going to instruct him not to answer 18 that. 19 Did you gather certain documents as a result of your Q 20 discussion with legal counsel? 21 MR. KENNEDY: I'll object to that, too. 22 That's trying to get the same information through the 23 back door. 24 (OBJECTION BY ALL DEFENSE COUNSEL) 25

Are you instructing him not

2	to answer?
3	MR. KENNEDY: Yes.
4	MR. PRENTISS: Let's call up Judge Boyle.
5	(OFF-THE-RECORD DISCUSSION)
6	Q As I understand it, Engelhard Corporation, Dr. Hem-
. 7	stock
8	MR. KENNEDY: Dr. Hemstock won't stipulate.
9	We'll stipulate. Is that what you were going to say?
10	MR. PRENTISS: Well
11	MR. KENNEDY: Because he doesn't know what
12	I think you want him to stipulate to. What you want
13	to establish is some foundation for these documents.
14	MR. PRENTISS: That's right.
15	MR. KENNEDY: That they were kept in the
16	you want to stipulate to what, now, that the documents
17	that we have produced were kept in the ordinary course
18	of business?
19	MR. PRENTISS: That's right.
20	MR. KENNEDY: That it was the course of
21	business for Engelhard to keep those documents?
22	MR. PRENTISS: That's right.
23	MR. KENNEDY: We have no problem in stipu-
24	lating to that. We cannot stipulate, and I think you
25	want us to, but we cannot stipulate at this time that

MR. PRENTISS:

the events recorded on those documents were recorded contemporaneously with the events. That we cannot do, not that we're not willing to, we're just unable to at this time.

MR. PRENTISS: Will you stipulate that these documents were made in the ordinary course of business of Engelhard?

MR. KENNEDY: I think I did.

MR. SLOANE: Made by anyone in the ordinary course of business.

MR. PRENTISS: That's right.

MR. KENNEDY: I don't see the semantic distinction.

MR. HALKET: All that we can stipulate to is the fact that we found these in the files of the company, and that they were company records. I don't know what went through the minds of the people who made them when they made them. One of the people who made them is Peter Gale. You can ask him better than we can, I suspect, what he did when he wrote up the document.

MR. LEIBENSPERGER: Moreover, many of the documents as I saw them were prepared by people not employed by Engelhard. There's no way of Engelhard or any of us sitting around the table can stipulate

to how they were prepared by them.

You will stipulate, so we'll MR. PRENTISS: be absolutely clear, that all of these documents came from the files of Engelhard Corporation? MR. KENNEDY: Yes. Б That the documents were kept MR. PRENTISS: 6 by Engelhard Corporation in the ordinary course of its business, and that it was the --8 Ordinary course of --MR. KENNEDY: 9 -- Engelhard's business to MR. PRENTISS: 10 keep those documents or keep those records in its 11 files? 12 MR. KENNEDY: Yes. That's what we did. 13 got them --14 (OFF-THE-RECORD DISCUSSION) 15 MR. PRENTISS: Can we add Mr. Kennedy's 16 last statement. We're stipulating as an ancillary 17 part of the regular course of business of Engelhard 18 Corporation, it kept these records. 19 MR. KENNEDY: It kept records. 20 So, to go back to Exhibit 1, Dr. Hemstock, do you have Q 21 any idea as to page four of Exhibit 1 what the original 22 of that stated? 23 A No. I do not. 24 Dr. Hemstock, was it the practice of the Research and 25 Q

Development Department to prepare reports such as that which appears as page two of Exhibit 1 in response to a TSR such as this? A Generally, yes. When you say generally, why do you add the qualifier? Q Because some TSR's are very, very brief, and sometimes one sentence or one line. That's really what this space is for, to record results, and many times the results can all be encompassed within that page, happened to be one that required more space, and, consequently, received a separate page. Q

So, the practice within the Department would be either to provide the response to the TSR on that form which is at page three of Exhibit 1 below the line labeled "Results of Tests," and if the results of the tests required greater elucidation, an additional page would be provided such as is shown as page two of Exhibit 1; is that correct?

- A That is correct.
- Q Exhibit 2 I'd like to hand you at this time. Is that another TSR form?
- There is no TSR form in this document. A
- Had you ever seen Exhibit 2 prior to preparation for Q this deposition?
- Not that I recall. A

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1,	Q	Do you know whether this document came from the files
2	-	of the Research and Development Department?
3	. A ·	No, I don't.
4	Q	Who is Mr. Michael Zimmermann?
5	A	Mr. Michael Zimmermann is the Product Manager for the
6		Emtal product line.
. 7	Q	What is x-ray fluorescence analysis?
8	A	X-ray fluorescence analysis is a technique for iden-
9		tification of the chemical elements present in a
10		mineral ore or a product.
11	Q	Is x-ray fluorescence analysis distinct from x-ray
12		diffraction?
13	A	Yes, it is.
14	Q	Is x-ray fluorescence analysis a means for testing for
15		presence of asbestos mineral in a sample of talc?
16	A	No, it is not.
17	Q	Did your department request the B.F. Goodrich Company
, 18		to perform tests on talc samples for presence of as-
19		bestos?
20	A	Not to my knowledge.
21	Q	What is Exhibit 3?
22	A	This is a Technical Service Request.
23	Q	From whom?
24	A	The document suggests it's from Mr. Shafer.
. 25	Q	To whom is it addressed?

The -- well, the request is to determine the presence 3 of asbestos-form particles or free silica in four 4 samples of mine rock waste. 5 Do you recall that TSR? Q 6 No, I do not. A You don't recall receiving that? Q. A No, I do not. 9 Was there a report that was rendered as a result of 10 that TSR? 11 The report is attached to this document. A 12 Do you know who wrote the report that is attached to Q 13 I'll show it to you, if you like. the TSR? 14 No, I cannot tell. A 15 And what's the date of the TSR? Q 16 The date it was initiated was February 28, 1973. Α 17 And can you determine what the date of the response Q 18 is? 19 Well, the response date at which the report was com-A 20 pleted according to this document is June 12, 1973. 21 Did that TSR entail a request by your department for Q 22 outside laboratory analysis? 23 Dan, doesn't the report spea' MR. KENNEDY: 24

I mean, he's only reading it.

for itself?

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It's addressed to Mr. Triglia and myself.

And what is the request?

Q

1	}	. 45
1	А	Yes, that's what the report would suggest.
2	Q	Now showing you page three of Exhibit 3, there is a
3		table. Can you tell me whether can you tell me
4		if this table represents the results of the in-house
5	,	analysis that was done in response to the TSR?
6	Α,	I can't answer that with certainty.
. 7	·Q	Do you know whether in-house analysis was done in res-
8		ponse to this TSR?
9	A	I don't know with certainty.
10	Q	Was it the regular practice of your department to pre-
11		pare a report such as is included in response to the
12		TSR such as is shown on page one of Exhibit 3?
13	Á	Well, page one indicates the report is attached, and
14		that's what these are, attachments. So, that would
15		be normal.
16	Q	That would be the normal practice?
17	A	That's correct.
18	Q	Would it be normal practice to write up this report
19		at the conclusion of the studies that made up the re-
20		port?
21	A	I should think so.
22	Q	Now, page three of this report contains a table. On
23		the table is a line item that states "Number Asbestos
24		Fibers Counted," and there's an asterisk which refers

to the statement, "Based on a total particle count of

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on it?

five hundred." The number asbestos fibers counted under the four columns are three, four, three, and 2 eight respectively. Is that what you referred to 3 earlier as being trace quantities? 4 (OBJECTION BY ALL DEFENSE COUNSEL) 5 Without a detailed study of the Johns-Manville report, 6 I couldn't comment. 7 Is the Johns-Manville report appended to this document? Q 8 Yes, it is. 9 A (RECESS) 10 Dr. Hemstock, is 1.6 percent asbestos as a constituent Q 11 of a talc sample what you would consider trace quantity 12 A I would --13 (OBJECTION BY ALL DEFENSE COUNSEL) 14 I would consider -- no, it is not. A 15 Q That's greater than trace quantity? 16 Yes. Α 17 Did you receive -- do you recall whether you received Q 18 this TSR at the time that it was issued? 19 Á No, I do not. 20 Was it normally the case that when a TSR would be given 21 Q to your department that you would see that TSR? 22 No, I would not normally see it. Α 23

And would you normally only see it if it had your name

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1	A	If it were addressed specifically to me, I would, in
2		all probability, I would see it.
3	, Q	Now, this TSR is addressed specifically to you; is
4		that right?
5	A	And to Mr. Triglia.
6	Q	Yes.
. 7	A	Who is in my department.
8	Q	Under normal circumstances, would you have read that
9		at the time that you received it?
10	A	I might have scanned it and passed it along to Mr.
11		Triglia.
12	.Q	Who is Mr. Triglia?
13	A	Mr. Triglia was the group leader of physical measure-
14		ments at that time.
15	Q	And what was your position at that time?
16	A	I was Director of Research.
17	Q	Before you became a Vice President?
18	· A	That's correct.
19	Q	Now, where a TSR carried your name as one of the ad-
20		dressees, would you normally read the response that
21		was ultimately given to that TSR?
22	A	I might.
23	Q	Under what circumstances would you read the response?
24	A	I think that the subject matter or my own personal
25		interest, if I wanted to if I had a general intere:

in that area, I might read it.

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In this case, the request, the TSR request is to Q determine whether or not there is asbestos or asbestiform particles or free silica in certain ore samples

that were submitted with the TSR; is that correct?

That's what the document says.

- Would that be a matter that you would consider of Q personal interest to you, whether or not there was asbestos in any of those ore samples?
- I might have had general interest, but not particularly A high interest in that at that particular time.
- Those ore samples came from -- is it apparent from that document where those ore samples came from?
- Yes, to the degree that the subject matter is Emtal mine waste rock, I would have to believe they came from the Emtal mine.
- In 1973, at the time that TSR was prepared, were you aware of any hazardous propensities of asbestos?

(OBJECTION BY ALL DEFENSE COUNSEL)

- In a general sense, yes.
- Was it a matter of any concern to you personally or professionally whether any of the ore that was associated with the Emtal talc mine contained asbestos?

(OBJECTION BY ALL DEFENSE COUNSEL)

In general terms, yes. A

2		that refresh any recollection of yours whatsoever as
3		to the events that are portrayed in the document?
4	A	No, it does not.
5	Q	Do you remember the first time that you learned of
6		a test result that found asbestos of any type in
7.		Emtal talc or Emtal talc ore?
8		(OBJECTION BY ALL DEFENSE COUNSEL)
9	A	I couldn't identify such time.
10	Q	Was that a matter of some significance to you?
11		(OBJECTION BY ALL DEFENSE COUNSEL)
12	A	Would you clarify the question, please?
13	Q	You were aware generally in 1973 that asbestos was
14		known or suspected to have hazardous health properties,
15		weren't you?
16	A	Generally, yes.
17	Q	And would it not have been a matter of concernto you
18		having that knowledge of asbestos if you were to learn
19		that some of the talc that was produced by your com-
20		pany may come from ore that was associated with asbes-
21		tos?
22	A	Perhaps.
23	Q	·Perhaps?
24	A	To the degree that I was generally aware that asbestos
25		was a very minor constituent of talc, generally, yes.

Looking at that document, do you have any -- does

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tuent of talc, generally asbestos does not pose a 2 health hazard? 3 (OBJECTION BY ALL DEFENSE COUNSEL) 4 MR. KENNEDY: I'm going to not only object, 5 but I'd like to remind you that Engelhard is not a 6 party to this. This is a discovery deposition, and 7 I think your question is argumentative when you really В have no cause to be argumentative with this witness. 9 It's a discovery deposition. That's it. 10 Can you answer the question? Q 11 Would you repeat the question, THE WITNESS: 12 please? 13 (PREVIOUS QUESTION READ BY REPORTER) 14 That is my general belief. A 15 On what do you base that belief? Q 16 (OBJECTION BY ALL DEFENSE COUNSEL) 17 Really on the basis of evidence that we have developed 18 over the past several years. The quantities of as-19 bestos in general that we have seen are below the 20 level that I would consider to be potentially hazardou 21 What is the level, what is that level that you just Q 22

Well, is it your opinion that as a very minor consti-

referred to?

A This is a qualitative judgment on my part. I think th

(OBJECTION BY ALL DEFENSE COUNSEL)

51 levels that are considered hazardous is very much a 1. controversial issue, so I stand by the fact that 2 qualitatively I believe they are below, in my judgment, 3 are below the levels that are to be considered hazard-4 ous. 5 What in your judgment is a level at which contamination 6 by asbestos would be considered hazardous? 7 (OBJECTION BY ALL DEFENSE COUNSEL) -8 I think speaking again very generally without the A 9 professional knowledge as an epidemiologist, probably 10 of the order of percents --11 Of the order of percents? Q 12 Well, let's say two to five percent. A 13 Two to five percent? Q 14 Speaking as a -- I'm speaking now as a layman in that A 15 area rather than a professional. 16

Thank you. I'll show you Plaintiff's Exhibit 4 for Q this deposition. What is that, Dr. Hemstock?

This is a Technical Service Request. A

And do you have any recollection of ever having seen Q that before other than through counsel?

No, I do not. Α

Is there a report attached to that TSR? Q

It's a similar form to those we have Yes, there is. previously looked at.

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- As with the other TSR's, was that a form that was Q 1 customary for your department to prepare in response 2 to such a TSR? 3 Yes, it was. 4 Who is Mr. Marchetti? Q 5 Mr. Marchetti was the Manager of Technical Service at 6 that time. 7 Now, the bottom of this TSR, there's a series of ini-Q 8 tials after the line "Copies to." Can you identify 9
 - Yes, I can. A

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- Q Could you do so?
- A This one is myself. 13
 - You're referring to "GAH"? Q
 - That is correct. "EWA" refers to Dr. E.W. Arnold. Α
- Who is that? Q 16
 - He was a Director of Research at this time. Α

who those initials belong to?

- Who is "WSS"? Q 18
 - "WSS" is W.S. Stoy, and he is a group leader of indus-Α trial products, Technical Service.
 - Who is "EJT"? Q
 - That "EJT" is E.J. Triglia who was the Manager of Α Analytical and Physical Measurements at that time; and "JVK" refers to Dr. James V. Kennedy who was also a Director of Research at that time.

1	Q	Those initials indicate that you, among these other
2		people, received a copy of this TSR?
3	A	That's what those initials would normally indicate.
4	Q	Does that also indicate that you received a copy of
5		the report that was prepared in response to the TSR?
6	A .	That would be normal procedure.
7	Q	And the document shows that it was assigned to a Mr.
8	· .	F.J and I'll ask your help?
9	A	Dzierzanowski.
10	Q	Who is that?
11	A	Mr. Dzierzanowski is or was at that time group leader
-12		of Physical Measurements.
13	Q	Would he be the person who would have prepared the
14		report that is appended to this TSR?
15	A	It would in all probability be someone in his group
16		rather than he himself.
17	Q	Could you tell me what is Exhibit 5?
18	A	I cannot identify this document.
19	Q	You've never seen Exhibit 5 before?
20	A	Not to my knowledge.
21	Q	The title of this paper is "Proposed Experimental
22		Design for Fiber Distribution," and the date of the
23		second page of this document is 4/15/1970. Do you kno
24		whether there was concern within your department as of
25		4/15/70 regarding identification of fiber contamination

2	1	T MOST & WILLIAM PHOCE
3	Q	Is there today?
4	-	(OBJECTION BY ALL DEFENSE COUNSEL)
5	A	I think I've testified earlier the fact that I per-
6		sonally, and I believe the division, believe that the
7		level of asbestos minerals present in our talcs is at
8		a non-hazardous level.
9	Q	When did it first concern you to determine what the
10		fiber levels of your talcs were?
11		(OBJECTION BY ALL DEFENSE COUNSEL)
. 12		MR. PRENTISS: Let me restate that ques-
13	·	tion.
14		THE WITNESS: Yes, if you would, please.
15	Q	When was it that you first decided to determine the
16		fiber levels of your talc?
17	A	I personally did not make that decision.
18	Q	Who did?
19	A	We the Research Department was responding to re-
20		quests from Marketing, Sales and Manufacturing, and
21		if they requested that we carry out such determination
22		we did, or attempted to.
23	Q	You don't recall when that first request was?
24	A	No, I do not.
25	. Q	And was it communicated to you what the reason for the
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of talcs that were produced and sold by your company?

request was? I don't recall the circumstances. 2 Do you know whether there was any concern over possible Q ' 3 health hazards involved with the talc? I can't definitively respond. I don't know. 5 A You simply don't know? Q 6 I don't know. A Do you know what Exhibit 6 is? 8 Q This is a Technical Service Request. Have you ever seen that before, prior to preparation Q 10 for this deposition? 11 Not that I recall. 12 It's the same format of Technical Service Request 13 Q together with responding studies that was the normal 14 course of your department to prepare? 15 Yes, it was. 16 A To receive and prepare? 17 Q 18 A Yes, it was. Now, the TSR is addressed to Mr. Marchetti again? 19 Q That is correct. A 20 Underneath that is a notation of the market, rubber. Q 21 What does that mean? 22 I could only speculate. 23 Don't. MR. KENNEDY: 24

I don't know. A

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3	A
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6	.Q .A
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9	Q
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11	A
12	Q
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18	A Q
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Q	And what	generally	is	that	blank	that's	labeled
	lista al-auli	used to de		, i		•	

- A That is put in by the requestor if he knows what the market is. That would normally be put in that form by the requestor.
- Q What do you mean by the market?
- A Well, if it were petroleum or paper or plastics or paints, those would be all markets.
- Q That would be the general industry to which your company was selling talc?
- A I believe so.
 - So, is it your understanding that in the usage of these documents that the designation "Rubber," for example, would mean that this request, this Technical Service Request, had to do with tale sales in the rubber industry by your company?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A I don't know that specifically.
- Q Do you know that -- when you say you don't know that specifically, was that the usage to your understanding the common usage of that designation "Market" on a TSR?
- A The normal procedure would be to indicate the market in that particular box on the form.
- Q Again, by market we're talking about that industry to

which your company was selling tale?

MR. KENNEDY: Well, excuse me. I've got to object if it's in reference to this document because this document shows the product involved is rubber. It's not the rubber industry, but it's a very specific portion, I believe; balloons.

Q I'm asking with respect to the usage of these TSR forms. Do you have the question or would you like it read?

THE WITNESS: Would you repeat it, please?

(PREVIOUS QUESTION READ BY REPORTER)

- Could you elaborate on that question? I don't understand that question.
- Q Did your company sell tale to the rubber industry?
- A I don't know that.

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- Q Does your company sell talc to the paint industry?
- 17 A I don't know that.
 - Q Does your company sell talc to the ceramics industry?
 - A I don't know that.
 - Q What do you know about whom your company sold tale to?
 - A The only thing that I know specifically is that we sold talc to the paper industry on a limited basis for pitch control.
 - Q That's the only thing you know specifically?
 - A That's the only thing I know for certain.

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3	A	No, I couldn't say that. I know that all of the tale
4		we sell doesn't go to the paper industry.
5	Q	You just have no idea to whom else it's sold besides
6		the paper industry?
7	A	That's correct.
8	, Q	No idea whatsoever?
9	A	No.
10	Ġ	Have you answered the question?
11	A	I know in general terms that we do sell tale to a
12		variety of industries. It is outside my province to
13		know in more detail than that.
14	Q	Now, this TSR has your initials down at the bottom
15		also, doesn't it?
16	A	That's correct.
17	Q	Your initials first of all, this is Exhibit 6. Up
18		at the top of this TSR, there's a series of initials
19		as well as down at the bottom; is that correct?
20	A	Yes, that's correct.
21	Q	At the top, who are the recipients designated as by
22		those initials?
23	A	This portion of the form, from here down to where this
24		signature is
25	Q	Now, you're referring just for the record to the top

For all you know, that's the only talc your company

Q

sold?

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of this uppermost box on this form, just beneath the 1 capital letter designation "Technical Service Request"; 2 is that right? 3 That is correct. 4 From that point down to the end of the box that appears Q 5 at about two-thirds down the page, you're referring 6 to that as the upper part of the request? 7 That is correct. A 8 Now, go ahead and finish your answer. Q 9 That portion of the Technical Service Request was A 10 filled out by the requestor. 11 Yes? Q A In this case, Mr. Zimmermann. These people who re-13 ceived copies were designated by him to receive them, 14 and they would have some interest, no doubt, in this 15 particular Technical Service Request. 16 Now, down at the bottom in the box beginning at two-17 18

- thirds of the way down the page to the end, there's another entry for "Copies to"; is that right?
- A That is correct.
 - Your initials appear in that entry; is that correct? Q
 - A That is correct.
 - They don't appear at the top? Q
- That is correct. A 24
 - Q Who makes a determination as to who is to receive the

	1	
1		copies of the report as designated in the bottom part
2		of that page?
3	A	That judgment would normally be made by Mr. Marchetti,
4		the man to whom the Technical Service Request was ad-
5		dressed.
6	Q	Did you have any policy within your department that
7		you were to receive copies of all reports that dealt
8		with asbestos in talc?
9	· A	In general, I have am to receive copies of all
10		Technical Service Requests. They pass my desk as
11		indicated earlier.
12	QQ	The question is: Did you have a policy, any particula
13		policy, with respect to reports that dealt with asbes-
14		tos?
15	А	No, I did not.
16	Q	None whatsoever?
17	A	None whatsoever.
18	Q	Have you had a chance to look through Exhibit 6 at all
19	A	No, I have not.
20	Q	And would you do so? Having reviewed that Exhibit
21		No. 6, does that refresh your recollection in any way
22		as to that TSR and the accompanying report?
23	A	No, it does not.
.	0	You have no recollection of that at all?

No, I have not.

	}	•
1	Q	Let me just ask you one question in general. You've
2		looked through these reports in preparation for this
3	•	deposition?
4	A	That's correct.
6	Q	Do you have any recollection of any of them?
6	Α -	Some of the more recent ones that we have received
7		or some in which I was directly involved, I could
8		identify. I have I receive across my desk each
9		day probably forty to fifty documents, and it's im-
10		possible to remember specifically details of each one.
11	Q	How many of those documents deal with asbestos?
12		MR. SARLI: What documents now?
13	A	Are you referring to the ones that cross my desk each
14		day?
15	Q	That's right.
16	A	A miniscule number.
17	Q	Is it pretty rare?
18	A	Yes, it is relatively rare.
19	Q	I show you Exhibit 7. Do you have any recollection
20		of that, Dr. Hemstock?
21	A	No, I don't.
22	Q	Who is Mr. Peter Gale?
23	A	Mr. Peter Gale was a geologist mineralogist.
24	Q	Did he ever have any relationship with Engelhard

Corporation?

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. 4	Q	To whom did he report?
5	A	He reported to Mr. Dzierzanowski.
6	Q	And what was his what were his duties in his
7		capacity as a project leader?
8	A	His role was to carry out tests in the Physical Mea-
9		surements area in response to whatever requests came
10		in.
11	Q	When was he hired?
12	A	I don't recall exactly. It was in the late seventies
13	Q	Did you participate in the decision to hire him?
14	A	I probably did.
15	Q	Where was he located while an employee of Engelhard?
16	A	He was located in Menlo Park.
17	Q	If you'll read the handwritten notation that's on
18		page two of that Exhibit No. 7 first, can you tell
19		me if you recognize the handwriting?
20	A	No, I do not.
21	Q	That handwriting suggests that Mr. Gale at the time
22		of preparation of that report was in Georgia; is that
23		correct?
24	((OBJECTION BY ALL DEFENSE COUNSEL)
25	A	He would not have been located in Georgia. He may
- 1	t	

Yes, he did.

What was that relationship?

He was a project leader in the Research Department.

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1.		have gone to Georgia Tech to observe the running of
2		these samples.
3	, Q	Was that a regular part of Mr. Gale's duties when he
4		worked for Engelhard?
5	A	I would say so.
6	Q	He, as a regular part of his duties, would go to
7		Georgia Tech to either observe or to run tests himself
8	A	He was far down in the learning curve at this time,
9		and he went to Georgia Tech primarily to learn. He
10		did not necessarily accompany every sample that went
11		to Georgia Tech.
12	Q	When you say he went to Georgia Tech primarily to lear
13		was he down there for some extended period of time?
14	A	Probably during the duration of running the samples
15		that he had requested to be run.
16	. Q	Was Mr. Gale hired for any particular field of researc
17		or analysis within your department?
, 18	A	Well, he was the mineralogist. Other than the profes-
19		sional skills that he brought with him, no.
20	Q	Was he hired with the idea that he would spend some
21		substantial portion of his time in analyzing material:
22		for asbestos content?
23	A	No, he was not.
24	Q	That was not any part of his job?

No, it was not.

He was not hired for that reason.

64 This handwritten notation states that Mr. Gale informed Q the writer that some chrysotile particles were identified during the analysis. Does that finding strike 3 any recollection of yours at all? (OBJECTION BY ALL DEFENSE COUNSEL) No. 6 You have no recollection of the analysis that Mr. Gale did that is memorialized in Exhibit 7? No. Α 10

None whatsoever? Q

No, I do not. Á

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- The subject matter of this Exhibit 7 is Sample REL-79-1. Can you tell me what that code means?
- This would be a code that was applied by the Manufacturing Department. I have no knowledge of what specifically it refers to.
- Does the Manufacturing Department have a code by which Q -- a standard code that it uses to identify samples?
- A Not to my knowledge.
- So, REL has no significance to you whatsoever?
 - Other than the fact that the initials correspond to the initiator of the request, no, it does not.
- This is a standard TSR form? 23 Q
- That's correct. 24
 - Now, was it standard practice that the response to the

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TSR forms would be handwritten as opposed to typed? No, it was not. A Now, this TSR is labeled "ASAP, Please Rush." Do you Q see that up there at the top? A Yes, I do. Was that the standard request that a TSR would carry about it? . A Not standard. That was unusual? Q It depended upon the rate of response that the requestor expected he was going to get. There were some people who always put "ASAP" or "Rush" on whether they needed it tomorrow or whether they needed it a month from now. There were others who would do it in a routine basis. The standard policy was first in, first out.

- And was it standard policy that a request, a TSR that Q dealt with asbestos, would carry the "Rush" or "ASAP" notation?
- No, it was not. A
- This form, Exhibit 7, and the attached report, that Q was the standard practice of the company to prepare that kind of form and that kind of report in response to it?
- It would be unusual to have this much handwritten information placed on the form. Normally, these come is

in a typewritten fashion; but as I testified earlier, the upper two-thirds of the document is filled out by the requestor.

Q Is it your testimony, then, that these handwritten notations in the upper half of the TSR were placed in there by the requestor?

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- A I don't know.
- Q For example, the date of the TSR is January 29, 1979?
- A That's correct.
 - Q Now, there's a notation "Request and Sample to P. Gale 2-5-79"?
 - A That's correct.
 - Q Would that suggest that this was written on by one of the persons who received this TSR?

(OBJECTION BY ALL DEFENSE COUNSEL)

A I can't --

MR. CURRAN: I'm going to object to the whole line of the questions. What is the relevance or materiality? The man left the shop in '76.

MR. HALKET: I would also like to make an objection to this kind of question and the previous questions that were drawn before this where the counsel for the Plaintiff is essentially asking the witness to read things from a document which he did not identify and which he does not remember. He's just reading work

67 from a piece of paper. Counsel for the Plaintiff can 1 read those words just as well as the witness can, and 2 has exactly the same force in testimony. 3 Who is G. Huff? G. Huff was the Manager of Plant Technical Service at 5 He was a member of the Research Department. that time. 6 Your initials appear at the bottom portion of this 7 Q TSR? 8 That's correct. A 9 Q Do you recognize Exhibit 8? 10 Á No, I do not. 11 Can you tell me what Exhibit 9 is? No, I cannot, other than the document speaks for it-A 13 self. 14 The first page of this document on any kind of a form Q 15 that's used by your company? 16 This is not a familiar form to me. 17 Q 18

- Let's go through the names. First, it is addressed to a Mr. Dennis L. Caputo. Do you know who that is?
- No.

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- Have you ever heard of R.A. Nadkarni? Q
- No, I've never heard of him. A 22
- Q Have you ever heard of the persons who are listed as 23 receiving copies of this document at the bottom of the 24 page; R.I. Botto? 25

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           No, I am not.
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           J.B. Cooper?
      Q
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           No, I'm not.
      A
           J.H. Karchmer?
      Q
           No, I'm not.
      A
5
      Q
           R.B. Williams?
6
           No, I'm not.
      A
7
           You've never seen this document before in your life?
      Q
8
     A
           No, I have not.
8
           Would you take a look at Exhibit 10? Have you ever
      Q
10
           seen that before?
11
           No, I have not.
     Α
12
           Who again is Mr. Oulton?
     Q
13
     A
           Mr. Oulton was at that time in the Physical Measurement
14
           Group.
15
           Physical Measurements Group of the Research and Develor
     Q
16
           ment Department?
17
           Yes.
18
           Of Engelhard?
     Q
19
     A
           Yes.
20
           Did he report to you?
     Q
21
           No, he did not.
22
           To whom did he report?
     Q
```

He reported to my predecessor who was the Vice Presi-

dent of Research at that time.

What was your position at this time? That was 1972? 2 Q Yes. 3 I was Director of Research. And was it your company's practice to utilize Johns-5 Q Manville as an outside laboratory for analysis of asbestos materials? 7. (OBJECTION BY ALL DEFENSE COUNSEL) 8 Not a practice. 9 Did you ever do it, to your knowledge? Q 10 Not to my knowledge. A 11 Not to your knowledge? Q Not to my knowledge. A 13 You have no knowledge derived from any source that 14 Q your company ever sent materials to Johns-Manville 15 for testing for asbestos content? 16 No, I do not. 17 Look at Exhibit 11, please. 18 Yes. 19 Have you ever seen that before? 20 Q I'm generally familiar with it. 21 Α What is it? 22 Q This is a summary paper that was written by Mr. Oulton 23 A for a talc symposium. 24

What is Mr. Oulton's training?

	ES .	70
1	A	What is his training?
2	Q	Yes.
3	A	He's a physical chemist, but has a or had a great
4		many years of experience, and I would say in the lat-
5		ter part of his career was a mineralogist more than a
6		physical chemist.
7	Q	Would you look at Exhibit 12 and tell me whether you
8		have ever seen that before?
9	Α	No, I have not.
10	Q	Is that a standard form that's used within your com-
11		pany?
12	, A	I can't identify it as such. It appears so.
13	Q	It carries the name Eastern Magnesia Talc Company?
14	A	That's correct.
15	Q	Johnson operations?
16	A	That's correct.
17	Q	Would this be the Eastern Magnesia Talc Company that
18		owns the mine in Vermont?
19		(OBJECTION BY ALL DEFENSE COUNSEL)
20	A	I believe so.
21	Q	Showing you Exhibit 13, do you know what that is?
22	A	Generally, yes.
23	Q	And what is it?
24	· A	This was a request made by Mr. Oulton who was in the
25		R & D of the Minerals and Chemicals Division for an

1	}	71
1		electron microscope evaluation of a sample by the
2		head of the electron microscope lab at Engelhard
3	•	Industries Division.
. 4	Q	Do you have any independent recollection of that
5		document? .
6	A	No, I do not.
7		MR. SARLI: What number is this again,
8	·	please? I'm sorry.
9		MR. PRENTISS: 13.
10	Q	Did that come to your attention at the time it was
, 11		prepared?
12	A	I don't know.
13	Q	Do you know what Exhibit 14 is?
14	A	Generally, yes.
15	Q	Had you ever seen that before, prior to preparation
16		for this deposition?
17	A	I don't recall.
18	Q	You never recall seeing that?
19	\mathbf{A}_{\perp}	No, I don't.
20	Q	It carries your name at the bottom as a recipient of
21		it?
22	A	Of a copy of it, yes.
23	Q	I show you Exhibit 15. Have you ever seen that before?
24	A	No, I have not.
25	·Q	You have no recollection of ever having seen that?

22

23

24

25

```
72
           No. I have not.
      A
           Do you know whether that was in the files of the
      Q
           Research and Development Department at your corpora-
           tion?
           I don't know.
5
           Exhibit 16, I'm handing you, and is that something that
      Q
6
           you've seen before?
7
           I don't recall.
      Ą
8
           Can you state what Exhibit 17 is?
      Q
9
           This was a Technical Service Request made by Mr. Yunko
10
           for determination of asbestos particles in food and
11
           drugs.
12
           Do you have any recollection of that document?
      Q
13
           No, I do not.
      Á
14
      Q
           You were the recipient of that, is it?
15
           That's correct.
      A
16
           Did you prepare the report?
      Q.
17
           No, I did not.
      A
18
           Do you know who did?
      Q
19
           The assignment was made to Mr. Triglia.
                                                      I don't know.
20
```

MR. HALKET: I'm going to just have a standing objection to instances where it is the case that the witness is testifying by reading the document as opposed to his own personal knowledge.

> MR. PRENTISS: Fine.

Q , Who is Mr. Yunko?

- A Mr. Yunko was the Director of Sales and Marketing for the Minerals and Chemicals Division at that time.
- Q I'll ask you to look at Exhibit 18. Do you have any recollection of that?
- A I don't recall this document.
- Q Now, that document makes reference to a meeting with Glenn Hemstock and Emil Triglia on Friday. The date of this memorandum is May 31, 1979. Do you have any recollection of a meeting that's referred to?
- A Only in general terms.
- Q What is your recollection?
- A This was a meeting that was held to generally review some of the test results that had been carried out during that time on the analysis of talc samples and air dust samples.
- Q This is analysis for content of asbestos?
- A Well, really the -- it was broader than that. It was to determine mineralogical content, asbestos or as-bestiform minerals being one.
- Q Did the Research and Development Department ever under take a specific study that was not so much in responst to a TSR, but a study on its own to determine asbesto or mineral content of tale?
- A There was a general study, a short-term research study

Q

A

Q

A

Q

A

to get a better understanding of the mineralogical
content of our talc, not specifically aimed at asbes-
tos.
When did that take place?
Approximately in the 1978-79 period.
Who conducted that study?
The study was carried out by people within the Resear
Department. Mr. Gale, Mr. Dzierzanowski, Mr. Triglia
were some of the people involved.
And were you involved as well?
To the degree that I and Mr. Gale visited the Emtal
 Plant and mine, that was the only direct involvement
I had.
Was the study generally undertaken under your super-
vision?
Generally under my supervision, yes.
Did you review the results of the study from time to
time during the course of the study?
From time to time, yes.
Is the meeting that's made reference to on Exhibit 18
a meeting that was held in connection with that study
I believe a part of it was.
A part of what? I'm not clear on your answer, sir.

Our study was aimed at looking at various Emtal pro-

It did not involve looking at competitive

2

3	Y	There were competitive samples char were constdered
4		at the meeting that's referenced in this document;
5		is that your testimony?
6		(OBJECTION BY ALL DEFENSE COUNSEL)
7	A	Yes, that's correct.
8	Q	In paragraph three of this document, there's a refer-
9		ence to Vermont talcs, Vertal. What does Vertal refer
10		to?
11	A	I believe that's would be a sample
12		(OBJECTION BY ALL DEFENSE COUNSEL)
13	A	of a competitive product.
14	Q	When you say a competitive product, that's a product
15		made by some company other than
16	A	Other than Engelhard.
17	Q	Do you know what company is associated with Vertal?
18	A	I believe that would be the Vermont Talc Company.
19	·Q	Do you know if that's still the name of the company?
20	A	No, I do not.
21	Q	There's a reference here to W.C.D. in caps with
22		periods after each of those initials. Do you know
23		what that refers to?
24	A	I would assume that was also another I believe the
	•	,

was another competitor.

samples, and as evidenced by this document, there

were competitive samples included.

	i f	·
· 4	Ġ	Now, there's a reference down here to Windsor. To
5		what does Windsor refer?
6	A	That also, I believe, is a competitive product.
. 7	Q	Would that be associated with Windsor Minerals, Inc.?
8	Α.	I believe so.
9	Q	Is it your testimony that the tests that are made
10		reference to in this exhibit let me ask you: What
11	•	tests were being referred to?
12		MR. KENNEDY: Referring to what's contained
13		in the exhibit?
14		MR. PRENTISS: That's correct.
15	A	The tests are not specifically defined in this docu-
16		ment.
17	Q	Do you have any recollection of what tests were being
18		referred to?
19	A	I do not know.
20	Q	I'll show you Exhibit 19. Have you ever seen that
21		before?
22	A	No, I have not seen this document.
23	Q	Is it addressed to Mr. Dzierzanowski?
24	A	That is correct.
25	Q	Do you know whether that is a report to Mr. Dzierzan
•		
!	i i	

Would that be Whittaker, Clark & Daniels?

I believe that's true.

(OBJECTION BY ALL DEFENSE COUNSEL)

Q

ski from an outside testing laboratory of tests that

1

2

740

he requested?

ħ		first sample received by your department during 1977?
2	A	That would be my judgment.
3	Q	Do you know whether the test results which are por-
4		trayed in Exhibit 19 were ever embodied in any kind
5		of a report prepared by the department?
6	, A .	I do not know that for a fact.
7	Q	Would it be the normal course of business of your
8		department to incorporate test results received by
9		outside laboratories as a part of a department re-
10		search report?
11	A	Yes, it would.
12	Q	I show you Exhibit 20. Have you ever seen that
13		before? Do you have any recollection of it? That's
14		two questions. Do you have any recollection of that?
15	A	No, I do not recall this document.
16	Q	Now, this document is an inter-department memorandum
17		of the Minerals and Chemicals Division. That means
18		part of an internal document for your company; is
19		that correct?
20	A	That's correct.
21	Q	Now, we already identified Mr. Oulton. Who is Mr.
22		Newgar?
23	A	That was Miss Newgar. She was a geologist, a mineral
24		ogist in the Physical Measurements Group.
25	Q	You're listed as a recipient of this memorandum?

2	Q	Now, this memorandum has to do with samples of tale
3		from the Waterbury and Johnson mines; is that correct
4	A	That's what the document appears to indicate.
5	Q	What was your company's interest in the Waterbury
6		mine?
7	A	I don't know.
8	Q	Do you have any idea why your company would be in-
9		volved in testing talc or talc ore from the Waterbury
0		mine?
1	A	I only have a general idea of that.
2	Q	And what is your general idea?
3	A	I believe that the Waterbury mine was a talc deposit
4		that had been largely mined out many, many years ago.
5	•	The reasons why we would be interested in that parti-
6		cular sample, other than for comparative purposes, I
7		do not know.
8	Q	Is the Waterbury mine near the Johnson mine?
9	,	(OBJECTION BY ALL DEFENSE COUNSEL)
0	A	I don't know.
1	Q	Do you know whether it's in the same geological forma
2		tion as the Johnson mine?
3.		(OBJECTION BY ALL DEFENSE COUNSEL)
4	. А	No, I do not know that.
5	Q	Showing you Exhibit 21, do you have any independent

That is correct.

recollection of that document? No, I do not. Α Dr. Hemstock, when the -- your Research and Develop-Q 3 ment Department sent a sample of some material out to an outside laboratory to be tested, would it be the 5 practice of your department to keep in its files whatever report was received in response to that test? Ź Yes, it would. 8 Your department would send the request out to an out-9 side laboratory as a part of its ongoing business in 10 performing the analyses requested of it by its client 11 department? 12 That's correct. A 13 Do you know who Mr. J.H. Shafer is? Q 14 He is the Plant Manager of the Emtal operation. Α 15 Could I see the last report or the last exhibit? 16 think it would be 20. I show you Exhibit 22 for iden-17 tification. Do you have any recollection of that docu-18 ment? 19 Only in general terms. A 20 And what is your recollection? Q 21 This was, as the document indicates, a request for a Α 22

collected in 1979.

transmission electron microscopy and selected area

diffraction evaluations on three bulk mineral samples

24 25

2	}	sis; is that right?
3	A	That is correct.
4	Q	Do you recall learning of the results that are re-
5		ported on that Exhibit No. 22?
6	A	No, I do not.
7	Q	You have no recollection of ever being told either by
8		Mr. Gale or by any other person that the laboratory
9		found what it characterized as extremely high levels
10	-	of chrysotile asbestos in one of the samples tested?
11	A	I do not have a recollection of that fact.
12	Q	You have no recollection of ever being told that?
13	A	Not of this specific instance.
14	Q	Do you have a recollection of ever having been told
15		that analysis of talc samples had found high or ex-
16		tremely high levels of chrysotile asbestos?
17	. A	I think that characterization is a relative term, and
18		what might be considered a high level by one indivi-
19		dual may not really in fact be a high level.
20	Q	Do you have any recollecting of being told by any per
21		son that analysis had shown what any person charac-
22		terized as being high or extremely high levels of as-
23		bestos in the talc?
24		(OBJECTION BY ALL DEFENSE COUNSEL)
25	A	I don't specifically recall that.
	1	

This letter is a response to a request for that analy-

Q

i	,	82
1	Q	Are you finished with your answer?
2	A	Yes, I am.
3	Q	When you say you don't specifically recall that, are
4		you saying you don't specifically recall the results
5		reported on Exhibit 22?
6	A	That is correct, I do not.
7	Q	But do you have any recollection without regard to
8		Exhibit 22 of ever receiving information that some
9,		analyst had characterized the asbestos content of
10		your company's talc as being high or extremely high?
11		(OBJECTION BY ALL DEFENSE COUNSEL)
12	A	No, I cannot say that.
13	Q	Do you have any recollection of ever having been told
14		by any person that an analyst had determined that the
15		asbestos content of talc sold by your company or from
16		your company's mine was at a greater level than trace
17		quantities?
18		(OBJECTION BY ALL DEFENSE COUNSEL)
19	A	No, I cannot say that.
20	, Q	You never were told that there was any more than trace
21		quantities of asbestos in your company's tale?
22		(OBJECTION BY ALL DEFENSE COUNSEL)
23	A	I do not specifically recall any instance of that.
24	Q	Are you saying no person ever told you that?
25		(OBJECTION BY ALL DEFENSE COUNSEL)

MR. KENNEDY:

Told him what, trace quanti-

as portrayed on Exhibit 23?

A Not those specific results.

MR. HALKET: I object to the last question and the last answer, because if I understand your question, it was have you ever seen the document; and if I understand Glenn's answer, it beared no relationship to whether he had ever seen the document. This is a problem we've talked about before. He is testifying about documents he's never seen. It's sheer speculation.

THE WITNESS: Yes, that's --

- Q I guess I didn't understand your earlier answer. Are you testifying you have never seen that document before?
- A I have not seen that specific document. I have seen some of those data before.
- Q Are you saying you may have seen this document, but you don't recall whether it was this specific one?
- A I do not recall having seen that specific document.
- Q But you may have seen it?

MR. HALKET: That's not what he testified to.

- A I don't recall having seen it.
- Q Was it customary during the course of Mr. Gale's study
 -- let me back up. Was this document, to the best of

.85 your knowledge, prepared as a part of Mr. Gale's 1 study that you previously testified to that took 2 place during 1978 and 1979? I believe --A 4 (OBJECTION BY ALL DEFENSE COUNSEL) 5 -- to the best of my knowledge. A 6 Are you familiar enough with the method of Mr. Gale's Q 7 research to be able to testify as to what it is that's 8 portrayed on that document? 9 (OBJECTION BY ALL DEFENSE COUNSEL) 10 Mr. Gale was carrying out transmission electron micro-Α 11 scope studies, and his intent here was to identify 12 the number of fibers, fibrous material, in those 13 transmission electron microscope samples. 14 Did you, during the course of Mr. Gale's study, dis-Q 15 cuss the results of his studies with him as he pro-16 duced those results? 17 Not as he produced them, only in general terms. 18 Α And would you meet periodically with Mr. Gale to re-Q 19 view the results that he had achieved as part of his 20 study? 21 Not normally with Mr. Gale. 22 With whom would you meet to discuss --Q 23 Well, there were others involved. Mr. Gale did not Α 24

report to me directly. Normally, Mr. Dzierzanowski

and Mr. Triglia would also be present. Would Mr. Gale be present, also? Q Normally, he would. Q Was it your practice at these periodic meetings to sit down and review some of the actual work sheet results that Mr. Gale or others working on the study had prepared as a part of their study? Not normally the original documents. He would summarize the significant data that he had obtained, and it would normally be a summary of those data that I would review. Now, across the top of this first page of Exhibit 23 are labeled "Emtal 42" and then there's a number 79-J-2 underneath that. Can you tell me what that refers to or what that signifies? No. I don't know what that signifies. Have you ever heard of the term "Emtal" before? Q Yes, I have. What is that? Q Emtal refers to products produced from the Johnson Α mine. Emtal 42, have you ever heard of any talc referred to Q

Emtal 42 is a specific grade of talc produced

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21

22

23

24

as Emtal 42 talc?

in the Emtal mine.

Column one and three both are headed "Emtal 42"; is

Q

Yes, it does.

A

25

To the best of my knowledge, the mounting of scanning

fibrous in nature? (OBJECTION BY ALL DEFENSE COUNSEL) 3 There could be several. A 4 Q And which ones? 5 (OBJECTION BY ALL DEFENSE COUNSEL) 6 A Serpentine could be present. I would make a distinc-7 tion between asbestiform minerals and asbestos 8 minerals. Fine. Q 10 And asbestiform minerals might also be considered A 11 fibrous in the context of this study. 12 Q What asbestiform minerals are you referring to? 13 A Things like serpentine, like chlorite. 14 Those would probably be the main ones. 15 Q Is it your testimony that chlorite is a fibrous form 16 of mineral particle? 17 (OBJECTION BY ALL DEFENSE COUNSEL) 18 It depends how it fractures and how the sample is Α 19 prepared. Chlorite is one of the -- the parent rocks 20 of the deposit. It is not talc per se, but it is one 21 of the parent rocks derived from talc. I believe that 22 chlorite normally is platey in shape. 23 What other mineral constituents of Emtal 42 talc be-Q 24 sides serpentine and chlorite are fibrous in form? 25

1

How many of those other minerals besides talc are

(OBJECTION BY ALL DEFENSE COUNSEL)

3

4

5

6

7 8

9

Q

Α

10

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12 13

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In the preparation of an electron microscope sample, there is ample opportunity for extraneous fibers to be present just from the ambient air. So, consequently, one might pick up a cellulose fiber and consider it a fiber in the electron microscope if one didn't attempt to identify it further.

Do you know whether the analytical techniques that were utilized in this study incorporated any measures to avoid contamination by atmospheric fibers?

(OBJECTION BY ALL DEFENSE COUNSEL)

I don't know that. I do know that there was a period when the electron microscope we were using was con-The Georgia Tech instrument was used for a wide variety of samples, and once the contaminant material got inside the barrel of the microscope, it could contaminate the samples almost at random. was considerable effort made by Georgia Tech to get their microscope cleaned up. It's difficult.

Q Did your company continue to use that Georgia Tech facility after it knew that the microscope had become contaminated?

Yes, we did. A

And were you not concerned about the effect on the Q results of your tests?

1	A	Yes, we were, and we verified when they had it cleaned
2	_	up by submitting duplicate or replicate samples.
3	Q	How did you determine that it had been cleaned up?
4	A	If on two consecutive sampling periods or testing
5		periods we got the same number, that was at least some
6	 - -	indication that it had been cleaned up.
7	Q	Did the testing procedures that were undertaken during
8		this study include any other tests to definitely iden-
9		tify or more definitely identify the minerals which
10		were found in fiber form?
11		(OBJECTION BY ALL DEFENSE COUNSEL)
12	A	Well, the only more definitive test of which I'm aware
13		is the selected area electron diffraction. That was
14		done on some samples.
15	Q	Which samples?
16	A	Only well, that is a test which positively iden-
17		tifies the mineral component of a given fiber so that
18		you're really only looking at the mineralogy of a
19		single particle.
20	Q	Did those tests confirm the presence of asbestos in
21		any of the Emtal talcs studied?
22	. A	In some cases they did.
23	Q	And do you know whether the test results which show
24		those results are incorporated or included within
25		this stack of documents that have been provided today?

1	A	Yes, I believe so.
2	Q	Can you tell me what Exhibit 24 is?
3	A	Yes, in general I can.
4	Q	And what is it?
5	· A	This was the results of a petrographic analysis by
6		an outside consulting firm run by an individual by
7		the name of Fred Farwell.
8	Q	When was that completed?
9	A	The document itself suggests that he was looking at
10		1977 material.
11	Q	Is there a date on the document that shows a comple-
12		tion date?
13	Α	Yes, July 7, 1977.
14	Q	Do you have an independent recollection of that study?
15	A	Not of this specific study, I do not.
16	Q	Or of the results that were obtained in the study?
17	A	No, I do not.
18	Q	When you say you have no recollection of this specific
19		study, do you have a recollection generally of studies
20		that were conducted by Mr. Farwell?
21	` A	Mr. Farwell was a microscopy expert, and we used him
22		for phase contrast microscope evaluations and also
23		for refractive index determinations.
24	Q	Are those testing procedures ones that are utilized

to determine asbestos contamination of samples?

(OBJECTION BY ALL DEFENSE COUNSEL) The phase contrast microscope has been used in an A effort to identify asbestos-type minerals. It is not a definitive test procedure? It is not a definitive procedure. Α Do you have any recollection of what Exhibit 25 is? Q No, I do not. A 7 You have never seen that before or you have no recol-Q lection of having seen it? 9 I have not seen this before, I do not believe. A 10 Have you ever seen Exhibit 26 before? 11 Ä No, I have not. 12 Do you know what it is? Q 13 No, I do not. A 14" Have you ever seen Exhibit 27 before? Q 15 Yes, I'm generally familiar with it. A What is it? Q 17 It was a request to Johns-Manville for determination of fibers by the phase contrast microscope. This was a technique which at that time, 1972, we did not have 20 in-house. 21 Do you remember your department requesting Johns-Q 22 Manville to perform tests as an outside laboratory 23 for your department? 24 We used many outside laboratories as indicated in the 25

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94
           documents you've seen earlier. I do not specifically
          recall Johns-Manville as being one of them.
          You have no recollection of that?
     Q
          No. I do not.
          Who wrote the memorandum that's attached as page two
     Q
          of that exhibit?
6
          That memorandum is written by me.
     A
7
          Does that memorandum make reference to sending samples
     Q
8
          out to Johns-Manville?
9
          Yes.
10
          For testing?
     Q
11
          Yes, that's strongly indicated.
12
          Look at Exhibit 28. Tell me if you have any recollec-
     Q
13
          tion of that?
14
          Generally, yes.
15
          This is an inter-department memorandum from your com-
     Q
16
          pany; is that correct?
17
          From a member of our company, yes.
18
          Within your company?
19
     Q
```

- That's correct. A 20
- Down at the bottom it's "Copy to" followed by initials? Q 21
- That's correct. A 22
- Your initials are the first set? Q 23
- That's correct. 24
 - There's a circle around your initials. Does that mean Q

	1	95
1.		this was your copy of this memorandum?
2	A	This, I believe, is a copy of a copy, and the fact
3		that this is circled would suggest that it was my
4		file copy that was used to make this copy.
5	Q	So, does that mean that the document from which this
6		copy was made was pulled from your file?
7	A	It would be pulled from the general files that we
8		talked about earlier. It would not be from my personal
9		file.
10	Q	The circle around it, your initials down here, does
11		that mean that was your copy of this document?
12		(OBJECTION BY ALL DEFENSE COUNSEL)
13	A	This copy was made for my eyes, but
14	Q	Yes?
15	A	but in fact it would go into the general files we
16		talked about earlier. It would not be retained by me.
17	Q	This document refer to a meeting that was held in con-
18		junction with the 1978-1979 study that you testified
19		to earlier?
20	A	Yes, I believe that's true.
21	Q	Was that early on in the study or late in the study?
22	A	This was fairly early on in the study.
23	Q	Do you have any recollection of the meeting that
24	A	I have a general recollection of it, yes.
25	Q	took place?

1) *	96
1	A	Yes.
2	Q	What happened at that meeting?
3	A	Well, this was a review of the results that we obtained
4		to date, and a general discussion of what further work
5		needed to be done.
6	Q	Do you recall what the results were that you received
7	·	to date?
8	Α,	No, I do not.
9	Q	I show you Exhibit 29. What is that?
10	A	This was a request by Mr. Oulton to representatives
11		of Johns-Manville for a phase contrast microscopy
12		analysis.
13	Q	It's an invoice for outside laboratory work?
14	A	That's correct.
15	Q	Done by Johns-Manville for your department; is that
16		right?
17	A	That's correct.
18	Q	What is the date on that?
19	A	May 1, 1973.
20	Q	I show you Exhibit 30. Have you ever seen that before?
21	A	No, I have not.
22	Q	Who is C.Y. Haas?
23	A	Mr. Haas was the Vice President of Administration of
24		the Minerals and Chemicals Division at that time.
25	Q	Do you have any recollection of Exhibit 31?

1	l	97
1	A	No, I do not.
2	Q	This is a memorandum on the letterhead of your company?
3	, A	Yes, I believe so.
4	Q	Your initials appear at the bottom of the page?
5	,A	That is correct.
6	Q.	As being a recipient of a copy?
7	A	As being a recipient of a copy, correct.
8	Q	There's a circle around your initials there?
9	A	Yes.
10	Q	You have no recollection of having received that?
11	A .	No, I do not.
12	Q.	Do you remember back when we discussed Exhibit 9, I'd
13		asked you if you'd ever seen the name Mr. Nadkarni
14		before?
[.] 15	A	Yes, correct.
16	Q	You've never seen that before?
17	A	I do not know the gentleman.
18	Q	His name appears on this document as well, doesn't it?
19	A	Yes, it does.
20	Q	You received a copy of that document?
21	A	Yes, that's correct.
22	Q	In fact, several of the individuals listed on Exhibit 9
23		are also listed on Exhibit 31, aren't they?
24	A	My interpretation would be that these were Exxon peo-
25		ple, but I do not know other than what's on the docu-

1	l	98
1.	i i	ment.
2	Q	Do you know what Exhibit 32 is?
3	, A	This was a description of a procedure used for looking
4		for fibers in phase contrast microscopy. At that
5		time, Miss Newgar who had just joined our staff was
6		attempting to learn the technique.
7 .	Q	Approximately when was that prepared?
8	A	1976, early '77.
9	Q	Do you have any independent recollection of either the
10		preparation of that or what it was used for?
11	A	No, I don't.
12	Q	Who's Daniel A. Jacobs?
13	A	May I see the date?
. 14	Q	Yes, sir. It's August 3, 1979.
15	A	Mr. Jacobs was the Production Manager of the Emtal
16		operation. The Plant Manager at Emtal reported to
17		Jacobs who was located at Menlo Park.
. 18	Q	Jacobs was located at Menlo Park, and the Plant Manager
19		was located in Johnson, Vermont?
20	A	That is correct.
21	Q	And the Plant Manager was Mr. Shafer?
22	A	That is correct.
23	Q	Who was Mr. C.C. Clarke?
· 24	A	Mr. Clarke was responsible for environmental activities
	I	

within the Minerals and Chemicals Division.

(RECESS)

- Did you ever see this Exhibit 33 before? Q
- No, I have not. Α

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- Do you have any idea what Mr. Jacobs was referring to in the memo at the last page of that where he says, "...the attached report looks like more potential trouble for Emtal"?
- No, I would not know that. I do not know. A
- Have you ever seen Exhibit 34 before? Q
- This is the continuation of one of the earlier docu-A. ments in which Miss Newgar was attempting to identify the mineral species that were present in samples of talc by phase contrast microscopy.
- And would that again date back to 1976? Q
- Well, this was somewhat later. The dates indicated A are April 7, 8.
- Do you know whether that was prepared as a part of the Q study that took place during 1978 and 1979 that you've described?
- I do not believe so. Α
- Can you tell me what Exhibit 35 is? Q
- This is a Technical Service Request also for evaluation A of samples of competitive talc.
- Q Now, this TSR is directed towards you; is that right?
- Yes, that is correct. A

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1	Q	It's from a Mr. Wert?
2	A	Yes.
3	Q	Who is that?
4	A	Mr. Wert is he's a he's in the Planning and
5		Development Department. He's basically a marketing
6		man.
7	Q	Now, a response was prepared to this TSR; correct?
8	A	That's correct.
9	Q	Who prepared the response?
10	A	Martha Hamel who is the electron microscopist in R $\&$ D
11		I believe she is the person who signed this Technical
12		Service Request.
13	·Q	Did you review the response that was given to that
14		TSR?
15	A	No, I have not.
16	Q	Do you know whether you would have reviewed that at
.17		the time it was submitted to Mr. Wert?
18	A	It would probably have crossed my desk.
19	Q	Would you probably have read it when it crossed your
20		desk?
21	A	I might have read it when it crossed my desk.
22	Q	Do you agree with the statements contained at page two
23		in this document, "Although no asbestos was found in
24		these samples, the presence of serpentine requires
25		that a sharp lookout be kept for chrysotile?"

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(OBJECTION	BY	ALL	DEFENSE	COUNSEL
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A Would you repeat the question now?

Q Do you agree, although no asbestos was found in these samples, the presence of serpentine requires that a sharp lookout be kept for chrysotile?

(OBJECTION BY ALL DEFENSE COUNSEL AND MOTION TO STRIKE)

A I think Dr. Hamel is relatively unfamiliar with the fact that small amounts of chrysotile may have been present in our talc samples in the past. I think she was identifying this as something that might not have been familiar with her, but was familiar to the rest of us.

Q Do you agree with this statement, that the presence of serpentine requires that a sharp lookout be kept for chrysotile?

(OBJECTION BY ALL DEFENSE COUNSEL)

A Yes, I would generally agree with that.

MR. DOLAN: Could we have the date of that exhibit, please?

MR. PRENTISS: Yes. This exhibit is dated July 15, 1982.

- Q And can you tell me what is the identification of the source of the talcs that are tested under this TSR?
- A I can only identify the first two.

And what are they?

That is correct.

2	A	Those are samples from
3	_	MR. SARLI: I object. If you look inside
4		it says "Vental," not Vertal.
5		THE WITNESS: I would interpret that as
6		competitive samples of Vermont talc.
7	Q	Vertal, what does that refer to?
8.		MR. SARLI: I object.
9	A	That is a commercial a trade name for talcs pro-
10		duced by that company.
11	Q	By the Vermont Talc Company?
12	Ą	That is my understanding.
13	Q	And could you tell me whether you recall Exhibit 36?
14		Your answer, sir?
15	A	Excuse me. What was the question?
16		(PREVIOUS QUESTION READ BY REPORTER)
17	A	No, I do not.
18	Q	That's a memorandum, inter-department memorandum within
19		your company?
20	A	That is correct.
_		This addressed to you from Mr. Trainling

You have no recollection of having received this?

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1	.	103
1	Α.	No, I do not.
2	Q	Do you know whether you would have read this at the
3		time that you received it?
4	A	I believe I would have.
5	Q	Is that your practice, to read memoranda that were
6		directed to your attention?
7	A	Very much so.
8	.Q	Dr. Hemstock, in the first full paragraph of page two
9		of this memorandum, there is a statement from Mr.
10		Triglia that, "The results showed that although there
11		was a variability in the number of fibers counted from
12		week to week, there were, nevertheless, fibers present
13		in every sample of Emtal 42 tested. A few of the Emtal
14		42 samples showed relatively high fiber counts." Do
15.	•	you know to what fiber Mr. Triglia is referring in that
16		sentence?
17		(OBJECTION BY ALL DEFENSE COUNSEL)
18	A	Those would be fibers observed in the electron micro-
19		scope evaluation of those samples.
20	Q	And do you know whether Mr. Triglia was referring to
21		a particular mineral fiber?
2	,	(OBJECTION BY ALL DEFENSE COUNSEL)
3	A	I do not know that.

Mr. Triglia, in paragraph one of the first page states,

"Evidence of serpentine fibers were found. These

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104 fibers have a very distinctive and unique shape which makes them easy to identify." Does that statement 2 refresh your recollection as to the mineral fibers to 3 which Mr. Triglia referred in the paragraph I read 4 from on page two? 5 No, it does not. All this refers to is a specific A 6 shape which he generally classifies as a serpentine fiber without any positive mineralogical identification.

MR. HALKET: I'm going to object to that question in that it implies that he had a recollection as to what was in the memo.

- Do you have any recollection of seeing Exhibit 37 Q
- No, I do not. A

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- Do you have a recollection of seeing Exhibit 38 before? Q
- No, I do not have a recollection of having seen this Α document.
- In the first page of this Exhibit 38 is a copy of a Q small memo pad page with the name of Mr. Triglia on Is that Mr. Triglia's handwriting?
- I believe it is. Α
- You have no recollection of receiving a copy of this Q TSR and the attached reports?
- No, I do not. A
- Your initials are here and indicate that you did receive Q

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1		a copy, though?
2	A	That is correct.
3	Q	Do you have any recollection of Exhibit 39?
4	Α .	No, I do not.
5	Q	Would you state what Exhibit 40 is?
6	A	I believe these are the results of a phase contrast
7	***************************************	microscopic evaluation of samples of Waterbury talc.
8	.Q	This is in 1978 that that was prepared; is that true?
9	A	April 20, 1978.
10	Q	This was prepared by your department, is that
11	A	Yes, that is correct.
12	Q	Why was your department interested in studying the
13		mineral content of Waterbury talc or Waterbury talc
14		ore?
15		(OBJECTION BY ALL DEFENSE COUNSEL)
16	A	The samples in question were taken by a geologist who
17		was not within the R & D Department, and this was a
18		request by him for work to be done. I cannot respond
19		to why he was interested in these particular samples.
20	Q	Do you know what Exhibit 41 is?
21	A	This was a request for mineralogical evaluation of
22		air samples collected in various parts of the Emtal
23		Plant.
24	· Q	Do you have any recollection whatsoever of seeing that
25		document before?

		107
1		that?
2	A	No, I don't.
3	Q	That Exhibit 44 appears to make reference to Exhibit 43
4	A	Yes.
5	Q	Does it not?
6	A	Yes, to the Selevan (Niosh) study, correct.
7	Q	Did you have any involvement in occupational health
8		or safety as part of your work at the company?
9	A	No, I did not. At this time, Mr. Oulton was not in
10		the Research Department. He was in the Administration
11		Department.
12	Q	So, your activities did not in any way involve possible
13		compliance with standards of governmental agencies
14		that may be applicable to mining?
15	A	That's correct.
16	Q	You had no involvement whatsoever?
17	A	None whatsoever.
18	Q	Other than requests that might be made to you for
19		analysis of samples?
20	A	Correct, yes.
21	Q	I show you Exhibit 45. Do you have any recollection
22		of that?
23	A	This was a summary of results that were obtained as
24		a result of a marketing Technical Service Request
2 5		relative to the fiber content of competitive products.
	•	•

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1	-	MR. HALKET: That was not the question he
2		asked. Answer the question he asked. Would you re-
3		peat it, please?
4	Q	Do you recall this document?
5	A	I do not recall this document.
. 6	Q	You have no recollection whatsoever of ever having
7		seen this before?
8	A	No, I do not.
9	Q	This is addressed to you?
10	A	That's correct.
11	Q	From Mr. Triglia?
12	A	Yes.
13	Q	And that's 1979?
14	A	Yes.
15	Q	That's too far back for recollection?
16		(OBJECTION BY ALL DEFENSE COUNSEL)
17	A	Yes, it is too far back for my specific recollection.
18	Q	Now, this document shows test results of various tale
19		samples for fiber content; is that true?
20	-	(OBJECTION BY ALL DEFENSE COUNSEL)
21		THE WITNESS: Would you repeat the question,
22		please?
23		(PREVIOUS QUESTION READ BY REPORTER)
24		(OBJECTION BY ALL DEFENSE COUNSEL)
25		MR. KENNEDY: You're asking for the witness'

		•
1	,	interpretation of the witness reading the document?
2		MR. PRENTISS: What the document portrays.
3		MR. KENNEDY: Doesn't it speak for itself?
4		What probative value does it have if he reads the
5		document and tells you what he thinks it says?
6	•	THE WITNESS: Yes. Generally, that's what
7		this document purports to do.
8	Q	The document that you reviewed at the time it was
9	·	submitted to you?
10		(OBJECTION BY ALL DEFENSE COUNSEL)
11		THE WITNESS: Would you repeat the question?
12	Q	Was it your normal practice to review documents that
13		were submitted to you?
14	A	Yes.
15	Q	That was submitted to you?
16	A	Yes, I believe so.
17	Q	Now, the document shows asbestos totals for Emtal
18		samples; for example, Emtal 42, and that refers again
19	 	to Emtal 42 talc?
20		(OBJECTION BY ALL DEFENSE COUNSEL)
21	A	Yes, I believe so.
22	Q	Asbestos total, trace, 0 to 5; do you have any idea
23		what the zero to five represents?
24	. A	No, I don't. I don't know what this column refers to
25		at all.

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1	·Q	So, you wouldn't know what the line, for example, that
2		shows Emtal 42, across the column, asbestos total,
3		many, 15 to 50
4	A.	No, I do not.
5	Q	You don't know what that represents?
. 6	Α	No, I do not.
7	Q .	There's a reference to laboratory used in one column.
8		The initials are E.I. INST. Do you know what that
9		refers to?
10	Α.	That's the Engelhard Industries Instrumentation
11		Laboratory.
12	Q	Where is that located?
13	A	That was located at Menlo Park.
14	Q	The asbestos fiber total on this column is listed as
15		abundant, greater than fifty. Do you know what that
16		signifies?
17	A	No, I don't.
18	Q.	Who would?
19		MR. KENNEDY: If you know.
20	A	I don't know who would.
21	Q	Well, E.I. Instrument Laboratory, that's Engelhard
22		Industries; is that correct?
23	A	Yes, that's correct.
24	Q	Is that laboratory within the same office building
25		that you work in?

Mr. Triglia, I believe, made such decisions on his

If he decided that Engelhard Industries for

At whose request was it?

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You've never seen this before?

I have no independent knowledge of this.

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3	A	Not to my knowledge.
4	Q.	Do you know what Exhibit 47 is?
5	A	This is a series of samples that were obtained from a
6		potential acquisition candidate.
7	Q	Does that mean a mine that your company was considering
8	·	purchasing?
9	A	That's correct.
10	Q	When approximately was this prepared?
11		MR. HALKET: Let the record show that the
12		witness is once again reading the document to find the
13		answer.
14	A	In the period of mid-year, 1977.
15	Q	Do you have any independent recollection of the studies
16		that are represented by that exhibit?
17	A	Only in a very general way.
18	Q	What is your recollection?
19	A	I was aware that the studies were underway to appraise
20		the quality of the deposit for potential acquisition.
21	Q	Do you know whether the deposit was studied for poten-
22		tial asbestos content?
23	A	This was an asbestos deposit.
24	Q	There was consideration of purchasing an asbestos mine?
25	A	There was some consideration given at that time.

Was it purchased? Q Α . It was not. 2 Dr. Hemstock, page one of this, the first entry is Q Emtal 42. Can you tell me what that means in relation to the potential purchase? 5 No, I cannot. A 6 What is it on this document that leads you to conclude Q 7 that it has to do with a potential purchase? 8 The title of the document itself, VAG core samples A 9 And what does "VAG" refer to? Q 10 VAG refers to Vermont Asbestos Group. A 11 I show you Exhibit 48. Did you ever see that before? Q 12 I have no independent recollection of this. Α 13 That's July of '82, isn't it? Q 14 That's correct. Α 15 Did you receive a copy of this? Q 16 Α Yes, I did. 17 You don't remember this one? 18 I don't recall it. A 19 The paragraph numbered one here in the body of this, Q 20 this is a TSR again? 21 That's correct. A 22 "Fiber content: This information is required immediate-Q

ly and should be conveyed orally as soon as it is avai-

lable," and under or pointing towards the orally is

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1		the handwritten word "only" underlined. Do you know
2		why it was that the fiber information was to be trans-
3	•	mitted only orally?

A No, I do not.

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- Q Whose direction was it that that information would only be transmitted orally?
- A I have no idea.
- Q Did you receive a copy of this?
 - A The document would indicate such.

MR. KENNEDY: With or without the margin-alia.

- Q Did you ever receive the information, the study results that are summarized on Exhibit 48? Refer to it, if you like.
- A I had no reason to believe I received any more than what is described here.
- Now, Exhibit 49 was loose material that was in the pocket of a looseleaf binder. Do you have any familiarity with that material?
- A Other than the fact that it appears to be a work sheet,

 I have no knowledge of this document.
- Q Do you know the looseleaf binder that I'm referring to?
- A No, not on the basis of information conveyed here, I don't know what you're referring to.

		117
1	Q	Do you know that your counsel came over today with a
2		black looseleaf binder that was filled with documents,
3	•	and this Exhibit 50 is a bundle of copies of all those
4		documents?
5	A	Yes
6	Q	Are you familiar with that series of documents?
7	A	I believe this was a record that Mr. Gale kept of some
8		of the raw data that he accumulated.
9	Q	That is the entire contents of the looseleaf binder?
10	A	I'm not specifically familiar with this document.
11	Q	By that you're referring to the entire bundle?
12	Α.	To the entire bundle.
13	Q	And do you know where that black looseleaf binder came
14		from and the documents contained in it?
15	A	No, I do not.
16	Q	Had you ever seen those before you prepared for this
17		deposition?
18	A	No, I had not.
19	Q	How is it that you know
20		MR. HALKET: A point of clarification.
21		You're asking whether he had seen the specific docu-
22		ments in the binder and not copies of them that might
23		have been somewhere else, I take it?
24	:	MR. PRENTISS: That's right.

How is it that you know that that represents work sheets

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kept by Mr. Gale during the course of his study?

MR. HALKET: Objection. He didn't say he
knew. He surmised it.

(OBJECTION BY ALL DEFENSE COUNSEL)

- A That was only a presumption on my part.
- Q On what basis do you make that presumption?
- A Some of the earlier documents that we discussed basically had the same kind of calculations in them, and so far as I'm aware, Mr. Gale was the only person in our department who made such calculations.
- Q In conjunction with the study?
- A Not necessarily in conjunction with the study. He would -- there were a number, as is evident here from the TSR's, there were a number of small independent requests. He would use these kinds of calculations in responding to those as well.
- Q Now, these work sheets, to your knowledge, do these represent just his work sheets representing the results of his analyses as he obtained them?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A I don't know that.
- Q Was there a report ever made of the study that Mr. Gale and the others conducted?
 - MR. KENNEDY: Which study?
 - MR: HALKET: I think the evidence shows

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there were a number of studies.

MR. PRENTISS: I'm talking about the study
that Dr. Hemstock has testified to that took place in
1978 and 1979 in which Mr. Gale took part.

A Yes, there were, that probably appeared in memoranda.

A Yes, there were, that probably appeared in memoranda.

I think we've seen the results of them this afternoon.

Q Well, the memoranda that we've seen are brief memoranda without conclusions or summaries of the results of tests. Was there any final report that was written regarding that series of tests?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A I don't recall that there was.
- Q You don't recall that there was?
- A I don't recall that there was.
 - You mean there could have been, but you wouldn't remember?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A I just don't recall it.
- Q How long did this study take to perform?

(OBJECTION BY ALL DEFENSE COUNSEL)

MR. HALKET: What study?

- Q Dr. Hemstock, do you have any questionabout what study I'm asking you about?
- A I assume you're talking about the study in which Mr.

 Gale and I visited the Vermont mine.

A

Q That's right.

That study probably occurred over several months, but it was not a dedicated effort on anybody's part. It was a relatively small study that was almost like a TSR except it was initiated within my department as opposed to being requested by others.

Q And why was it initiated within your department?

Mr. Gale and I -- I had never been to the Emtal mine or plant, nor had Mr. Gale. Mr. Gale had just joined the organization, and we were generally interested in learning more about the nature of the Emtal deposit, and I was personally interested in seeing the processing operation.

Q One of the purposes of the study was to determine asbestos content in the talc and the ore; is that correct?

A No, it was not.

Q It was not?

No, it was not. It was a broader intent than that.

We wanted to see the general geology of the deposit.

We wanted to find out what other mineral constituents
there were. If we found asbestos, that was -- so be it.

We were really looking for other things like magnesite
content, iron carbonate content, other extraneous
minerals like chlorite, like sphene. So, it was a much

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1		broader study than you have indicated.	
2	Q	And was asbestos one of the minerals that was looked	
3	•	for?	
4	A	We looked for fibers. We were interested in morphology	۲.
5		We were interested in knowing what our talc the	
6		basic morphology of the talc deposit. If we found	
7		fibers, when you're looking in an electron microscope,	
8		you see what you see.	
9	Q	My question was: Was asbestos one of the minerals	
10		that was looked for in the talc?	
11	A	Yes, it was one of the minerals.	
12	Q	How many other such studies did your department ini-	
13		tiate of its own the way that you describe this re-	
14		port this study as being initiated on its own during	}
15		the time that you have been associated with the depart-	ı
16	:	ment?	
17	A	To my knowledge, none.	
18	Q	This is the only one?	
19	A	That is correct.	
20	Q	You do not know whether a report was prepared at the	
21		end of that study?	
22	A	I'm not sure that a final report was ever issued.	
23	Q	And was an interim report ever issued?	
24	A	In the form of a memoranda, some of which you have	
25	:	seen.	

- Q And where else -- where would the rest of those data be reported?
 - MR. KENNEDY: We've given you all the documents we had. We made that representation to you.

 You've got all the documents.
- A If they're not here, they don't exist.
 - Q Did anybody tell you not to prepare written reports regarding any part of the study?
 - A No, sir.

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- Q Did you tell anybody not to prepare written reports regarding any part of the study?
- A No, I did not.

(RECESS)

- Q Dr. Hemstock, I'd like you to look at a memorandum that was included as part of Exhibit 50. Do you recall ever having seen that before?
- A No, I do not.
- Q Dr. Hemstock, there's a reference made in this memorandum to the identification of chrysotile fibers in talc taken from the Baker mine in Southern Quebec.

 Do you recall that, learning of that identification?
- A No, I do not.
- Q This memorandum states, and I'll read the paragraph
 that's relevant, "Transmission electron microscopy
 investigations were undertaken at the Georgia Institute

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of Technology from February 12 to February 16. of the samples (79-J-1) investigated during this time was a talc production sample from the Baker mine in Southern Quebec. A request had been made (TSR:J-79-1) to examine the sample and determine the mineralogy and possible contaminants present. A transmission electron microscope examination of this talc production sample showed the presence of chrysotile fibers. cations prevalent in this information prompted Mr. Howard Shafer to send four more talc production samples to the Georgia Institute of Technology for transmission electron microscopy investigations." Do you have any recollection of Mr. Shafer sending these materials out for examination in response to learning of chrysotile in talc from the Quebec mine?

A No, I do not.

- Q Can you tell me whether or not this event that's stated in this memorandum had any effect or was in any way related to the decision of your department to undertake a study of talc in the Emtal mine in '78 and '79?
- A I believe it was not.
- Q You believe that your study was undertaken completely independent of any information that's contained in this memorandum?
- A I believe so.

On what basis do you state that?

Q

CO., BAYONNE, M.J.

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1	Q	Which two events?
2	A	The investigation of these specific samples and the
·3	•	visit that Mr. Gale and I made to Emtal.
4	Q	When did you make the visit with Mr. Gale to the Emtal
5		facility?
6		MR. KENNEDY: Didn't we cover this subject
7		already?
8		MR. PRENTISS: No.
9	A	It was in the '78-79 period.
10	Q	Over what period of time did this study take place?
11		MR. KENNEDY: What study, the field trip?
12	A	Can you be more specific on the study? You're still
13		referring to the visit that Gale and I made and the
14		subsequent analyses? That was only one of many, many
15		TSR type of activities that went on over this long
16		time frame. I don't think it should be highlighted
17		to the degree that you have indicated it.
18	Q	Now, there's a memorandum dated March 20, 1979 from
19		Peter Gale to several people including yourself. The
20		subject of that memorandum is talc is that talc
21		study or talc investigation?
22	A	Talc investigation.
23	Q	Do you know what Mr. Gale is referring to as the talc
24		investigation?
25		(OBJECTION BY ALL DEFENSE COUNSEL)

No. I don't.

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(OBJECTION BY ALL DEFENSE COUNSEL)

- Q You don't have any recollection what strategy was decided on?
- · A No, I do not.

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Now, I previously showed to you the memorandum dated April 11, 1979 to Mr. Triglia from Mr. Gale and which you received a copy of. The subject is talc investigation, review and update. Do you have any idea what talc investigation he's referring to?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A No, I do not.
- Q The memorandum dated April 4, 1979. It is to you among other people from Mr. Gale, subject: Talc investigation. Do you have any idea what that talc investigation is?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A No, I don't.
- Q You have no recollection of a talc investigation?
- 19 A No, I do not.
 - These documents, Dr. Hemstock, that you have been questioned on at today's deposition, what proportion did you obtain from the files of the Research and Development Department?

MR. SLOANE: Personally?

THE WITNESS: I personally?

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MR. PRENTISS: Yes.

- A I did not obtain any personally.
- Q Did you direct that any person obtain any of the documents that have been identified in this deposition prior to this deposition at any time?
- A No, I did not.

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- Q Do you know whether any of these documents that have been identified in this deposition were obtained from the files associated with your department?
- A I believe that is true.
- Q How do you know that?
 - A Because I was specifically asked by our legal department to have an opportunity to look at documents, and
 my response—my secretary assisted the legal department
 in generally locating where those files were.
 - Q What did she look under?

(OBJECTION BY ALL DEFENSE COUNSEL)

- A I don't know. She has her own -- she understands the filing.
- Q Did you give her instructions on how to find these files?
- A No, I did not.
- Q Did you discuss it with her at all?
 - A No, I did not.
 - Q None whatsoever?

7.50

CO., BAYONNE, R.J.

- Q Now, the x-ray diffraction, the output of an x-ray diffraction test is some sort of a graph; is that right?
- A . It's a tracing, yes.
- Q It's a tracing, a crooked line going across the graph page; is that right?
- A That's correct.

7.

Q Was it your intention to state in this paragraph five of this affidavit that Mr. Gale in looking at the tracing of an x-ray diffraction, that he might perform tomorrow or next week, would refresh his recollection as to a tracing that he saw back in 1978 or 1979?

MR. KENNEDY: I'm going to object, and I'm going to instruct the witness not to answer because I think your inquiry now is going to the motion that you have filed. I think the theories behind that are the theories of counsel. It's got no purpose in this deposition whatsoever. So, I'll instruct him not to answer. If you want to get the judge on the phone, I'm sure he's sitting in his chambers right now.

MR. PRENTISS: Let me state this for the record. As of this time, this deposition is the conclusion of the Motion for Contempt because the deposition has taken place. All that's left is my application for fees and expenses. I can state for the

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record that I am not pressing any Motion for Contempt to the extent there is still one pending. What I'm stating, there has been filed by Engelhard Corporation, a defendant in a related action, in District Court in Vermont, an affidavit by Dr. Hemstock, and I am perfectly entitled to cross-examine him on something that he stated under oath which is going to be offered as a part of the defense to that case in Vermont.

MR. KENNEDY: But I think if you want to depose him on that, the action -- that you should do it in the Vermont action, not this action.

MR. SLOANE: There's a Motion to Dismiss pending in that action. You're seeking discovery in an action in which a Motion to Dismiss is pending.

The Motion to Dismiss is made. The argument is that there's mo jurisdiction in Vermont. What you're doing, what you just candidly explained, is trying to take discovery in this action whereyou can't take it in Vermont. That's not proper. You know it.

MR. PRENTISS: I can take it in Vermont.

MR. KENNEDY: Then take it in Vermont.

MR. PRENTISS: This affidavit was also filed in this action.

MR. SLOANE: I think the record now is clear on why you're asking these inquiries.

Not only that, the issue --MR. KENNEDY: 1 it was filed and it has already been resolved. 2 we are, the Court's order. 3 One last document. Exhibit 51, Dr. Hemstock, have you 4 ever seen that before? 5 I have not seen this document before. 6 MR. PRENTISS: No further questions. 7 MR. LEIBENSPERGER: No questions. В MR. CURRAN: No questions. 9 MR. DOLAN: No questions. 10 MR. SARLI: No questions. 11 MR. SLOANE: No questions. 12 No questions. MR. KENNEDY: 13 (WHEREUPON, THE DEPOSITION IN THE AFORE-14 MENTIONED MATTER WAS ADJOURNED AT 5:30 P.M.) 15 16 17 18 19 20 21 22 23 24 25